



Balanced Bipartite Generalized Row-Column Designs

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SUMMARY

This article deals with generalized row-column designs when there are two sets of treatments, one set consisting of test treatments and the other of control treatments called Bipartite Generalized Row-Column Designs. The two sets are disjoint in the sense that there are no treatments in common between the two. The interest here is to estimate the contrasts pertaining to test treatments vs. control treatments with as high precision as possible. Series of Bipartite Generalized Row-Column designs for comparing a set of test treatments to a set of control treatments have been obtained. These designs ensure that all the contrasts pertaining to test vs. control are estimated with less variance in comparison to those pertaining to test vs. test treatments.

Keywords: Row-column design, Disjoint set, Test treatments, Control treatments, Bipartite.

1. INTRODUCTION

Generalized Row-Column (GRC) design is an arrangement of v treatments in p rows and q columns such that the intersection of each row and column consists of k (>1) units. For instance, an experiment was conducted on tobacco plants at Rothamsted Experimental Station to check whether a mechanism to inhibit tobacco mosaic virus had been carried over to following generations (Bailey and Monod, 2001). Each treatment was a solution made from an extract of one of the offspring plants. The solution was rubbed onto several half-leaves of normal tobacco plants. The number of lesions per half leaf was measured. There were eight plants and pair of half leaves at four heights. This can be considered as generalized row-column design where leaf heights represent rows, plants columns and there are two plots in the intersection of each row and column.

For details on these designs, one may refer to Harshberger and Davis (1952), Darby and Gilbert (1958), Preece and Freeman (1983), Williams (1986), Bailey (1988, 1992), Edmonson (1998), Bailey and Monod (2001), Bedford and Whitaker (2001), Jaggi *et al.* (2010) and Datta *et al.* (2014, 2015, 2016).

In the conventional GRC designs, the interest is to make all possible pair-wise comparisons among the treatment effects. However, there may arise experimental situations where it is desired to compare treatments belonging to two disjoint sets i.e. there are no common treatments between the two. The interest here is to estimate the contrasts of the type $(\tau_i - \tau_j)$ with as high precision as possible, τ_i and τ_j belongs to 1st and 2nd set of treatments respectively. For example, in agricultural experiments the aim is to test a set of new varieties of a crop with a set of already existing varieties and to determine which of the varieties performs better in comparison to the existing ones. The designs that are efficient for all pair-wise comparisons may not be efficient for this subset of comparisons.

The earliest work on comparing treatments from one set (test treatments) with one or more treatment in second set (control) was carried out by Dunnett (1955). A lot of work has been done under different experimental settings for comparing treatments from one set with a single treatment from other set (Hedayat *et al.* 1988; Majumdar and Tamhane 1996; Jaggi *et al.* 1996; Parsad *et al.* 1996; Jaggi and Gupta 1997; Gupta *et al.* 1998; Gupta and Parsad 2001; Parsad and Gupta

2001; Jacroux 2003; Abeynayake and Jaggi 2009; and Sarkar *et al.* 2013).

This article deals with constructing GRC designs for comparing a set of test treatments to a set of control treatments. The interest here is to estimate the contrasts pertaining to test treatments vs. control treatments with as high precision as possible.

2. EXPERIMENTAL SETUP AND MODEL

We consider a GRC design with $v=v_1+v_2$ (v_1 test treatments and v_2 control treatments) treatments arranged in p rows, q columns and in each row-column intersection (i.e. cells) there are k units or plots resulting in total $n=pqk$ experimental units or observations. The following three-way classified model with treatments, rows and columns, is considered:

$$Y_{l(ij)} = \mu + \alpha_i + \beta_j + \tau_{l(ij)} + e_{l(ij)}; \quad (2.1a)$$

$$i = 1, 2, \dots, p; j = 1, 2, \dots, q; l = 1, 2, \dots, k$$

where $Y_{l(ij)}$ is the response from the l^{th} unit corresponding to the intersection of i^{th} row and j^{th} column. μ is the general mean, α_i is the i^{th} row effect, β_j is the j^{th} column effect and $\tau_{l(ij)}$ is the effect of the treatment appearing in the l^{th} unit corresponding to the intersection of i^{th} row and j^{th} column. $e_{l(ij)}$ is the error term identically and independently distributed and following normal distribution with mean zero and constant variance.

The above model can be written in matrix notation as follows:

$$Y = \mu \mathbf{1} + \Delta' \boldsymbol{\tau} + \mathbf{D}'_1 \boldsymbol{\alpha} + \mathbf{D}'_2 \boldsymbol{\beta} + \mathbf{e} \quad (2.1b)$$

where Y is a $n \times 1$ vector of observations, μ is the grand mean, $\mathbf{1}$ is the $n \times 1$ vector of ones, Δ' is $n \times v$ incidence matrix of observations versus treatments, $\boldsymbol{\tau}$ is a $v \times 1$ vector of treatment effects, \mathbf{D}'_1 is $n \times p$ incidence matrix of observations versus rows, $\boldsymbol{\alpha}$ is $p \times 1$ vector of row effects, \mathbf{D}'_2 is $n \times q$ incidence matrix of observations versus columns, $\boldsymbol{\beta}$ is $q \times 1$ vector of column effects and \mathbf{e} is $n \times 1$ vector of random errors with $E(\mathbf{e}) = 0$ and $D(\mathbf{e}) = \sigma^2 \mathbf{I}_n$. Further, $\Delta' \mathbf{1}_v = \mathbf{D}'_1 \mathbf{1}_p = \mathbf{D}'_2 \mathbf{1}_q = \mathbf{1}_n$

$$\Delta \mathbf{D}'_1 = \mathbf{N}_1 = \begin{bmatrix} N_{11} \\ N_{12} \end{bmatrix}, \quad (v_1 + v_2)' p \text{ matrix with } N_{11}$$

as the incidence of treatments of first set versus row

and N_{12} as the incidence of treatments of second set versus row,

$$\Delta \mathbf{D}'_2 = \mathbf{N}_2 = \begin{bmatrix} N_{21} \\ N_{22} \end{bmatrix}, \quad (v_1 + v_2)' q \text{ matrix with } N_{21}$$

as the incidence of first set of treatments versus column and N_{22} as the incidence of second set of treatments versus column and \mathbf{W} is the incidence matrix of rows versus columns.

$\mathbf{r} = [\mathbf{r}'_{\tau 1} \quad \mathbf{r}'_{\tau 2}]'$ is the $(v_1 + v_2) \times I$ replication vector of treatments with $\mathbf{r}_{\tau 1}$ as the replication vector of first set treatments and $\mathbf{r}_{\tau 2}$ as the replication of second set treatments and

$$\mathbf{R} = \begin{bmatrix} \mathbf{R}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{R}_2 \end{bmatrix}$$

with \mathbf{R}_1 (\mathbf{R}_2) as the diagonal matrix of replication of first (second) set of treatments.

$\mathbf{k}_\alpha = (k_{\alpha 1}, k_{\alpha 2}, \dots, k_{\alpha p})'$ is the $p \times I$ vector of row sizes with $\mathbf{K}_\alpha = \text{diag}(k_{\alpha 1}, k_{\alpha 2}, \dots, k_{\alpha p})$, the diagonal matrix of row-sizes.

$\mathbf{k}_\beta = (k_{\beta 1}, k_{\beta 2}, \dots, k_{\beta q})'$ is the $q \times I$ vector of column sizes with $\mathbf{K}_\beta = \text{diag}(k_{\beta 1}, k_{\beta 2}, \dots, k_{\beta q})$ as the diagonal matrix of column-sizes.

The information matrix for a GRC design for two sets of treatments is thus obtained as

$$\mathbf{C} = \begin{pmatrix} \mathbf{R}_1 - \mathbf{K}_{11} & -\mathbf{K}_{12} \\ -\mathbf{K}_{21} & \mathbf{R}_2 - \mathbf{K}_{22} \end{pmatrix} \quad (2.2)$$

where,

$$\mathbf{K}_{11} = N_{11} \mathbf{K}_\alpha' N_{11} + N_{11} \mathbf{FZ}' \mathbf{F}' N_{11}' - N_{21} \mathbf{Z}' \mathbf{F}' N_{11}' + N_{11} \mathbf{FZ}' N_{11}' + N_{21} \mathbf{Z}' N_{11}'$$

$$\mathbf{K}_{12} = N_{11} \mathbf{K}_\alpha' N_{12} + N_{11} \mathbf{FZ}' \mathbf{F}' N_{12}' - N_{21} \mathbf{Z}' \mathbf{F}' N_{12}' - N_{11} \mathbf{FZ}' N_{22}' + N_{21} \mathbf{Z}' N_{22}'$$

$$\mathbf{K}_{21} = N_{12} \mathbf{K}_\alpha' N_{11}' + N_{12} \mathbf{FZ}' \mathbf{F}' N_{11}' - N_{22} \mathbf{Z}' \mathbf{F}' N_{11}' - N_{12} \mathbf{FZ}' N_{21}' + N_{22} \mathbf{Z}' N_{21}'$$

$$\mathbf{K}_{22} = N_{12} \mathbf{K}_\alpha' N_{12}' + N_{12} \mathbf{FZ}' \mathbf{F}' N_{12}' - N_{22} \mathbf{Z}' \mathbf{F}' N_{12}' - N_{12} \mathbf{FZ}' N_{22}' + N_{22} \mathbf{Z}' N_{22}'$$

$$\mathbf{F} = \mathbf{K}_\alpha' \mathbf{W}$$

$$\mathbf{Z} = \mathbf{K}_\beta' - \mathbf{W}' \mathbf{K}_\alpha' \mathbf{W}$$

The $(v_1 + v_2) \times (v_1 + v_2)$ matrix \mathbf{C} is symmetric, non-negative definite with zero row and column sums. Considering this information matrix, the GRC design for two disjoint sets of treatments is now defined

Definition: A GRC design with p rows and q columns with intersection of each row-column having

k units in a cell is said to be a Balanced Bipartite Generalized Row-Column (BBP-GRC) design for comparing a set of v_1 treatments to a set of v_2 treatments if and only if its C matrix is of the form

$$C = \begin{bmatrix} (f_1 - f_2)I_{v_1} + f_2 \mathbf{1}_{v_1} \mathbf{1}'_{v_1} & f_3 I_{v_1} \mathbf{1}'_{v_2} \\ f_3 \mathbf{1}_{v_2} \mathbf{1}'_{v_1} & (f_4 - f_5)I_{v_2} + f_5 \mathbf{1}_{v_2} \mathbf{1}'_{v_2} \end{bmatrix}$$

such that $f_1 + (v_1 - 1)f_2 + f_3 v_2 = 0$ and $f_4 + (v_2 - 1)f_5 + f_3 v_1 = 0$ where f_1, f_2, f_3, f_4 and f_5 are integers. The parameter of a BBP-GRC design can be represented as v_1, v_2, p, q, k, r_1 (replication of treatments of first set also called as test treatments) and r_2 (replication of treatments of second set also called as control treatments).

Note: If the first term is not of the form $(f_1 - f_2)I_{v_1} + f_2 \mathbf{1}_{v_1} \mathbf{1}'_{v_1}$, then it may result in a Partially Balanced Bipartite Generalized Row-Column Design.

3. METHODS OF CONSTRUCTING BBP-GRC DESIGNS

Method 3.1: Consider a Balanced Incomplete Block (BIB) design with parameters $v^*, b^*, r^*, k^*, \lambda^*$ and it's complementary with parameters $v^*, b^*, b^* - r^*, v^* - k^*, v^* - 2r^* + \lambda^*$. Arrange the blocks of the BIB design in the first row giving rise to $q = b^*$ columns. The blocks obtained from the complements are arranged in the second row.

Case I: If $v^* > 2k^*$, then augment $v_2 = v^* - 2k^*$ last treatments called as control treatments to all the cells of the first row. The resulting design will be a BBP-GRC design with parameters $v_1 = 2k^*, v_2 = v^* - 2k^*, p = 2, q = b^*, r_1 = b^*, r_2 = 2b^*$ and $k = v^* - k^*$.

Case II: If $v^* < 2k^*$, then augment $v_2 = 2k^* - v^*$ last treatments called as control treatments to all the cells of the second row. The resulting design will be a BBP-GRC design with parameters $v_1 = 2(v^* - k^*), v_2 = 2k^* - v^*, p = 2, q = b^*, r_1 = b^*, r_2 = 2b^*$ and $k = k^*$.

Particular Case IA: Consider a BIB design of the form $v^* = s^2, b^* = s(s+1), r^* = s+1, k^* = s, \lambda^* = 1$. A BBP-GRC design with $v = v_1 + v_2$, where $v_1 = 2s$ and $v_2 = s(s-2)$ treatments arranged in $p = 2$ rows, $q = s(s+1)$ columns and in each row-column intersection (i.e. cells) there are $k = s(s-1)$ units or plots resulting in total $n = 2s^2(s^2 - 1)$ experimental units or observations.

The structure of the incidence matrices as per model (2.1b) of the design obtained is as follows:

$$N_1 = \begin{pmatrix} N_{11} \\ N_{12} \end{pmatrix} = \begin{pmatrix} (s+1)I_{v_1} & (s^2 - 1)I_{v_1} \\ (s+1)^2 I_{v_2} & (s^2 - 1)I_{v_2} \end{pmatrix}$$

$$N_2 = \begin{pmatrix} N_{21} \\ N_{22} \end{pmatrix} = \begin{pmatrix} J_{v_1 \times q} \\ 2J_{v_2 \times q} \end{pmatrix}$$

$$W = sJ_{p \times q}$$

So,

$$N_1 N_1' = \begin{pmatrix} N_{11} N_{11}' & N_{11} N_{12}' \\ N_{12} N_{11}' & N_{12} N_{12}' \end{pmatrix} = \begin{pmatrix} (s+1)^2 [1 + (s-1)^2] J_{v_1 \times v_1} & [(s+1)^3 + (s^2 - 1)^2] J_{v_1 \times v_2} \\ [(s+1)^3 + (s^2 - 1)^2] J_{v_2 \times v_1} & [2(s+1)^2 (s^2 + 1)] J_{v_2 \times v_2} \end{pmatrix}$$

and

$$N_2 N_2' = \begin{pmatrix} N_{21} N_{21}' & N_{21} N_{22}' \\ N_{22} N_{21}' & N_{22} N_{22}' \end{pmatrix} = \begin{pmatrix} s(s+1) J_{v_1 \times v_1} & 2s(s+1) J_{v_1 \times v_2} \\ 2s(s+1) J_{v_2 \times v_1} & 4s(s+1) J_{v_2 \times v_2} \end{pmatrix}$$

$$\text{Also, } R = \begin{pmatrix} s(s+1)I_{v_1 \times v_1} & 0 \\ 0 & 2s(s+1)I_{v_2 \times v_2} \end{pmatrix}$$

$$K_\alpha = kqI_p = s^2(s+1)I_p \text{ and } K_\beta = kpI_p = 2sI_q$$

The information matrix for estimating the treatment effects of BBP-GRC design is obtained as

$$C = \begin{pmatrix} s(s+1)I_{v_1 \times v_1} - \frac{(s+1)[1 + (s-1)^2]}{s^2(s-1)} J_{v_1 \times v_1} & -\frac{[(s+1)^3 + (s^2 - 1)^2]}{s^2(s^2 - 1)} J_{v_1 \times v_2} \\ -\frac{[(s+1)^3 + (s^2 - 1)^2]}{s^2(s^2 - 1)} J_{v_2 \times v_1} & 2s(s+1)I_{v_2 \times v_2} - \frac{[2(s+1)(s^2 + 1)]}{s^2(s-1)} J_{v_2 \times v_2} \end{pmatrix}$$

Example 3.1.1: Consider a BIB design with parameters as $v^* = 9, b^* = 12, r^* = 4, k^* = 3, \lambda^* = 1$. Arrange the blocks of this BIB design in the first row

Rows	Columns											
	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
I	1 2 3	4 5 6	7 8 9	1 4 7	2 5 8	3 6 9	1 6 8	2 4 9	3 5 7	1 5 9	2 6 7	3 4 8
	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9	7 8 9
II	4 5 6	1 2 3	1 2 3	2 3 5	1 3 4	1 2 4	2 3 4	1 3 5	1 2 4	2 3 4	1 3 4	1 2 5
	7 8 9	7 8 9	4 5 6	6 8 9	6 7 9	5 7 8	5 7 9	6 7 8	6 8 9	6 7 8	5 8 9	6 7 9

and its complementary in the second row. Augment 3 treatments (7, 8, 9) to all the cells of the first row. The resulting design will be a BBP-GRC design with parameters $v_1=6$ (numbered as 1,2,3,4,5,6), $v_2=3$ (numbered as 7,8,9), $p=2$, $q=12$, $r_1=12$, $r_2=24$ and $k=6$.

The information matrix for estimating treatment effects of first and second set is obtained as follows

$$C = \begin{pmatrix} 12I_{6 \times 6} - 1.111J_{6 \times 6} & -1.778J_{6 \times 3} \\ -1.778J_{3 \times 6} & 24I_{3 \times 3} - 4.444J_{3 \times 3} \end{pmatrix}$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.1667 \sigma^2, s \neq s', s, s' = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.1285 \sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Average variance is $0.1406 \sigma^2$.

Particular Case IB: Consider a BIB design of the form v^* , $b^* = v^* C_2 = \frac{v^*(v^*-1)}{2}$, $r^* = v^* - 1$, $k^* = 2$,

$\lambda^* = 1$. A BBP-GRC design with $v = v_1 + v_2$, where $v_1 = 4$ and $v_2 = v^* - 4$ treatments arranged in $p = 2$ rows,

$q = \frac{v^*(v^*-1)}{2}$ columns and in each row-column intersection there are $k = v^* - 2$ units or plots resulting in total $n = v^*(v^*-1)(v^*-2)$ experimental units or observations.

The structure of the incidence matrices as per model (2.1b) of the design obtained is as follows:

$$N_1 = \begin{pmatrix} N_{11} \\ N_{12} \end{pmatrix} = \begin{pmatrix} (v^*-1)I_{v_1} & \frac{(v^*-1)(v^*-2)}{2}I_{v_1} \\ \frac{(v^*-1)(v^*+2)}{2}I_{v_2} & \frac{(v^*-1)(v^*-2)}{2}I_{v_2} \end{pmatrix}$$

$$N_2 = \begin{pmatrix} N_{21} \\ N_{22} \end{pmatrix} = \begin{pmatrix} J_{v_1 \times q} \\ 2J_{v_2 \times q} \end{pmatrix}$$

$$W = (v^*-2)J_{p \times q}$$

So,

$$N_1 N_1' = \begin{pmatrix} N_{11} N_{11}' & N_{11} N_{12}' \\ N_{12} N_{11}' & N_{12} N_{12}' \end{pmatrix} = \begin{pmatrix} \frac{(v^*-1)^2 [4 + (v^*-2)^2]}{4} J_{v_1 \times v_1} & \frac{(v^*-1)^2 (v^{*2} - 2v^* + 8)}{4} J_{v_1 \times v_2} \\ \frac{(v^*-1)^2 (v^{*2} - 2v^* + 8)}{4} J_{v_2 \times v_1} & \frac{(v^*-1)^2 (v^{*2} + 4)}{2} J_{v_2 \times v_2} \end{pmatrix}$$

and

$$N_2 N_2' = \begin{pmatrix} N_{21} N_{21}' & N_{21} N_{22}' \\ N_{22} N_{21}' & N_{22} N_{22}' \end{pmatrix} = \begin{pmatrix} \frac{v^*(v^*-1)}{2} J_{v_1 \times v_1} & v^*(v^*-1) J_{v_1 \times v_2} \\ v^*(v^*-1) J_{v_1 \times v_2} & 2v^*(v^*-1) J_{v_2 \times v_2} \end{pmatrix}$$

$$\text{Here, } R = \begin{pmatrix} \frac{v^*(v^*-1)}{2} I_{v_1 \times v_1} & 0 \\ 0 & v^*(v^*-1) I_{v_2 \times v_2} \end{pmatrix}$$

$$K_a = kqI_p = \frac{v^*(v^*-1)(v^*-2)}{2} I_p \text{ and } K_a = kpI_p = 2(v^*-2)I_q$$

Thus, the information matrix for BBP-GRC design obtained is

$$C = \begin{pmatrix} \frac{v^*(v^*-1)}{2} I_{v_1 \times v_1} - \frac{(v^*-1)[4 + (v^*-2)^2]}{2v^*(v^*-2)} J_{v_1 \times v_1} & -\frac{(v^*-1)(v^{*2} - 2v^* + 8)}{2v^*(v^*-2)} J_{v_1 \times v_2} \\ -\frac{(v^*-1)(v^{*2} - 2v^* + 8)}{2v^*(v^*-2)} J_{v_2 \times v_1} & v^*(v^*-1) I_{v_2 \times v_2} - \frac{(v^*-1)(v^{*2} + 4)}{v^*(v^*-2)} J_{v_2 \times v_2} \end{pmatrix}$$

Example 3.1.2: Consider a BIB design with parameters as $v^* = 6$, $b^* = 15$, $r^* = 5$, $k^* = 2$, $\lambda^* = 1$. Arrange the blocks of the BIB design as per the above mentioned method. The resulting design is a BBP-GRC design with parameters $v_1 = 4$ (1,2,3,4), $v_2 = 2$ (5,6), $p = 2$, $q = 15$, $k = 4$, $r_1 = 15$, $r_2 = 30$.

The information matrix for estimating treatment effects of first and second set is obtained as follows

$$C = \begin{pmatrix} 15I_{4 \times 4} - 2.083J_{4 \times 4} & -3.333J_{4 \times 2} \\ -3.333J_{2 \times 4} & 30I_{2 \times 2} - 8.333J_{2 \times 2} \end{pmatrix}$$

Rows	Columns														
	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	XIII	XIV	XV
I	1 2 5 6	1 3 5 6	1 4 5 6	1 5 5 6	1 6 5 6	2 3 5 6	2 4 5 6	2 5 5 6	2 6 5 6	3 4 5 6	3 5 5 6	3 6 5 6	4 5 5 6	4 6 5 6	5 6 5 6
II	3 4 5 6	2 4 5 6	2 3 5 6	2 3 4 6	2 3 4 5	1 4 5 6	1 3 5 6	1 3 4 6	1 3 4 5	1 2 5 6	1 2 4 6	1 2 4 5	1 2 3 6	1 2 3 5	1 2 3 4

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.1333\sigma^2, s \neq s', s, s' = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.1042\sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Average variance is $0.1133\sigma^2$.

Example 3.1.3: Consider a BIB design with parameters as $v^* = 7, b^* = 7, r^* = 4, k^* = 4, \lambda^* = 2$. Here, $v^* < 2k^*$ i.e. Case II of the method given. Arrange the blocks of this BIB design in the first row and its complementary in the second row. Augment 1 treatment (number 7) to all the cells of the second row. The resulting design will be a BBP-GRC design with parameters $v_1 = 6$ (numbered as 1,2,3,4,5,6), $v_2 = 1$ (numbered as 7), $p = 2, q = 7, r_1 = 7, r_2 = 14$ and $k = 4$.

Rows	Columns						
	I	II	III	IV	V	VI	VII
I	3 5 6 7	1 4 6 7	1 2 5 7	1 2 3 6	2 3 4 7	1 3 4 5	2 4 5 6
II	1 2 4 7	2 3 5 7	3 4 6 7	4 5 7 7	5 6 1 7	6 7 2 7	7 1 3 7

The information matrix for estimating treatment effects of first set and single control is obtained as follows:

$$C = \begin{bmatrix} 7I_6 - 0.89J_{6 \times 3} & -1.64 J_{6 \times 1} \\ -1.64 J_{1 \times 6} & 9.86 \end{bmatrix}$$

The variance factor of estimate of contrasts pertaining to test treatments is 0.286 whereas the variance factor of estimate of contrasts pertaining to test treatments versus control is 0.220.

Remark: If we consider a Partially Balanced Incomplete Block (PBIB) design with parameters $v^*, b^*, r^*, k^*, \lambda_i (i = 1, 2, \dots)$ and its complement and use the same method as given above, the resulting design will be a BBP-GRC design.

Example 3.1.4: Consider a group divisible (GD) design with parameters $v^* = 12, b^* = 9, r^* = 3, k^* = 4, \lambda_1 = 0, \lambda_2 = 1$. Arrange the blocks of the GD design and its complement design as described in the above method. The resulting design will be a BBP-GRC design with parameters $v_1 = 8$ (1,2,3,4,5,6,7,8), $v_2 = 4$ (9,10,11,12), $p = 2, q = 9, r_1 = 9, r_2 = 18$ and $k^* = 8$.

Rows	Columns								
	I	II	III	IV	V	VI	VII	VIII	IX
I	14	15	16	24	25	26	34	35	36
	7 10	8 11	9 12	8 12	9 10	7 11	9 11	7 12	8 10
	9 10	9 10	9 10	9 10	9 10	9 10	9 10	9 10	9 10
	11	11	11	11	11	11	11	11	11
	12	12	12	12	12	12	12	12	12

II	2 3	6 2	2 3	1 3	1 3	1 3	1 2	1 2	1 2
	5 6	12 7	7 8	7 9	7 8	8 9	7 8	8 9	4 5
	8 9	3 4	4 5	5 6	4 6	4 5	5 6	4 6	7 9
	11	9 10	10	10	11	10	10	10	11
	12		11	11	12	12	12	11	12

The information matrix for estimating treatment effects of first and second set is obtained as follows

$$C = \begin{pmatrix} 9I_{4 \times 4} - 0.625J_{4 \times 4} & -J_{4 \times 4} \\ -J_{4 \times 4} & 18I_{4 \times 4} - 2.5J_{4 \times 4} \end{pmatrix}$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.222\sigma^2, s \neq s', s, s' = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.017\sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Average variance is $0.187\sigma^2$.

Method 3.2: Case I: Consider a two-class association scheme for v^* treatments with number of first associates as n_1 and number of second associates as n_2 . Arrange the first associates along with the corresponding treatment in the first column. The second associates are arranged in the second column.

- i. If $|n_1 + I - n_2|$ is even then augment $v_2 = (n_1 + I - n_2)/2$ new treatments in each cell of column which has lesser cell size. The resulting design will be a BBP-GRC design with parameter $v_1 = v^*, v_2 = (n_1 + I - n_2)/2, p = v^*, q = 2, r_1 = v^*, r_2 = v^*v_2$ and $k = n_1 + I$.
- ii. If $|n_1 + I - n_2|$ is odd then augment one new treatments $(n_1 + I - n_2)$ number of times in each cell of column which has lesser cell size. The resulting design will be a BBP-GRC design with parameter $v_1 = v^*, v_2 = 1, p = v^*, q = 2, r_1 = v^*, r_2 = v^*(n_1 + I - n_2)$ and $k = n_1 + I$.

The design obtained is variance balanced with respect to the first set and second set of treatments

Particular Case: Consider a triangular association scheme with $v^* = \frac{n(n-1)}{2}, n_1 = 2(n-2), n_2 = \frac{(n-2)(n-3)}{2}$. Arrange the first associates along with the corresponding treatment in the first column. The second associates are arranged in the second column. If $|n_1 + I - n_2|$ is even augment v_2 new treatments in each cell of the second column or $|n_1 + I - n_2|$ is odd augment one new treatment in each cell of the second column. The resulting design will be a

BBP-GRC design with parameters $v_1 = v^* = \frac{n(n-1)}{2}$,
 $v_2 = \frac{n_1 + n_2 - 1}{2} = \frac{9n - n^2 - 12}{4}$, $p = v^* = \frac{n(n-1)}{2}$, $q = 2$,
 $r_1 = v^* = \frac{n(n-1)}{2}$, $r_2 = pv_2 = \frac{n(n-1)(9n - n^2 + 12)}{8}$ and
 $k = n_1 + 1 = (2n - 3)$.

Here,

$$R = \begin{pmatrix} \frac{n(n-1)}{2} I_{v_1 \times v_1} & 0 \\ 0 & \frac{n(n-1)(9n - n^2 - 12)}{8} I_{v_2 \times v_2} \end{pmatrix}$$

$$K_\alpha = kqI_p = 2(2n-3)I_p \text{ and } K_\beta = kpI_p = \frac{n(n-1)(2n-3)}{2} I_q$$

$$N_1 = \begin{pmatrix} N_{11} \\ N_{12} \end{pmatrix} = \begin{pmatrix} J_{v_1 \times p} \\ \frac{9n - n^2 - 12}{4} J_{v_2 \times p} \end{pmatrix}$$

$$N_2 = \begin{pmatrix} N_{21} \\ N_{22} \end{pmatrix} = \begin{pmatrix} (2n-3)I_{v_1} & \frac{(n-2)(n-3)}{2} I_{v_1} \\ 0 & \frac{n(n-1)(9n - n^2 - 12)}{8} I_{v_2} \end{pmatrix}$$

$$W = (2n-3)J_{p \times q}$$

So,

$$N_1 N_1' = \begin{pmatrix} N_{11} N_{11}' & N_{11} N_{12}' \\ N_{12} N_{11}' & N_{12} N_{12}' \end{pmatrix} = \begin{pmatrix} \frac{n(n-1)}{2} J_{v_1 \times v_1} & \frac{n(n-1)(9n - n^2 - 12)}{8} J_{v_1 \times v_2} \\ \frac{n(n-1)(9n - n^2 - 12)}{8} J_{v_2 \times v_1} & \frac{n(n-1)(9n - n^2 - 12)^2}{32} J_{v_2 \times v_2} \end{pmatrix}$$

and

$$N_2 N_2' = \begin{pmatrix} N_{21} N_{21}' & N_{21} N_{22}' \\ N_{22} N_{21}' & N_{22} N_{22}' \end{pmatrix} = \begin{pmatrix} \left[(2n-3)^2 + \frac{(n-2)^2(n-3)^2}{4} \right] J_{v_1 \times v_1} & \frac{n^2(n-1)(n-2)(n-3)}{4} J_{v_1 \times v_2} \\ \frac{n^2(n-1)(n-2)(n-3)}{4} J_{v_1 \times v_2} & \frac{n^2(n-1)^2(9n - n^2 - 12)}{64} J_{v_2 \times v_2} \end{pmatrix}$$

The information matrix for BBP-GRC design obtained is

$$C = \begin{pmatrix} \frac{n(n-1)}{2} I_{v_1 v_1} - \frac{2 \left[(2n-3)^2 + \frac{(n-2)^2(n-3)^2}{4} \right] J_{v_1 v_1}}{n(n-1)(2n-3)} & -\frac{(n-2)(n-3)(9n - n^2 - 12) J_{v_1 v_2}}{8(2n-3)} \\ \frac{(n-2)(n-3)(9n - n^2 - 12) J_{v_2 v_1}}{8(2n-3)} & \frac{n(n-1)(9n - n^2 - 12) J_{v_2 v_2}}{8} - \frac{n(n-1)(9n - n^2 - 12)}{32(2n-3)} J_{v_2 v_2} \end{pmatrix}$$

Example 3.2.1: Consider a triangular association scheme with parameters $v^*=10$, $n_1=6, n_2=3$. Arrange the first associates along with the corresponding treatment in the first column. The second associates are arranged in the second column. Here, $|n_1 + I - n_2| = 4$, so augment $v_2=2$ new treatments two times in each cell of the second column. The resulting design will be a BBP-GRC design with parameters $v_1 = v^* = 10$, $v_2 = 2$, $p = 10$, $q = 2$, $r_1 = 10$, $r_2 = 20$ and $k = 7$.

Rows	Columns													
	I						II							
I	1	2	3	4	5	6	7	8	9	10	11	11	12	12
II	2	1	3	4	5	8	9	6	7	10	11	11	12	12
III	3	1	2	4	6	8	10	5	7	9	11	11	12	12
IV	4	1	2	3	7	9	10	5	6	8	11	11	12	12
V	5	1	6	7	2	8	9	3	4	10	11	11	12	12
VI	6	1	5	7	3	8	10	2	4	9	11	11	12	12
VII	7	1	5	6	4	9	10	2	3	8	11	11	12	12
VIII	8	2	5	9	3	6	10	1	4	7	11	11	12	12
IX	9	2	5	8	4	7	10	3	1	6	11	11	12	12
X	10	3	6	8	4	7	9	1	2	5	11	11	12	12

The information matrix for estimating treatment effects of first and second set is obtained as follows:

$$C = \begin{pmatrix} 10I_{10 \times 10} - 0.8286J_{10 \times 10} & -0.8571J_{10 \times 2} \\ -0.8571J_{2 \times 10} & 20I_{2 \times 2} - 5.714286J_{2 \times 2} \end{pmatrix}$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.200\sigma^2, s \neq s', s, s' = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.173\sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Case II: Consider a two-class association scheme (v^*, n_1, n_2) . Arrange the first associates along with the corresponding treatment in the first column. The second associates are arranged in the second column. Then augment v_2 new treatments in each cell of both the columns. The resulting design will be a BBP-GRC design with parameters $v_1 = v^*$, $v_2, p = v^*$, $q = 2$, $r_1 = v^*$, $r_2 = 2v^*$, $k_1 = n_1 + v_2 + I$ and $k_2 = n_2 + v_2$. The design obtained so will have unequal cell sizes and is variance balanced with respect to the first set and second set of treatments.

Example 3.2.2: Consider a group divisible association scheme with parameters $v_1 = v_2 = 12$. Arrange the first associates along with the corresponding treatment in the first column. The second associates are arranged in the second column. Augment 2 new treatments in each cell of both the columns. The resulting design will be a BBP-GRC design with parameters $v_1 = 12, v_2 = 2, p = 12, q = 2, r_1 = 12, r_2 = 24, k_1 = 6$ and $k_2 = 10$.

Rows	Columns	
	I	II
I	1 2 3 4 13 14	5 6 7 8 9 10 11 12 13 14
II	2 1 3 4 13 14	5 6 7 8 9 10 11 12 13 14
III	3 1 2 4 13 14	5 6 7 8 9 10 11 12 13 14
IV	4 1 2 3 13 14	5 6 7 8 9 10 11 12 13 14
V	5 6 7 8 13 14	1 2 3 4 9 10 11 12 13 14
VI	6 5 7 8 13 14	1 2 3 4 9 10 11 12 13 14
VII	7 5 6 8 13 14	1 2 3 4 9 10 11 12 13 14
VIII	8 5 6 7 13 14	1 2 3 4 9 10 11 12 13 14
IX	9 10 11 12 13 14	1 2 3 4 5 6 7 8 13 14
X	10 9 11 12 13 14	1 2 3 4 5 6 7 8 13 14
XI	11 9 10 12 13 14	1 2 3 4 5 6 7 8 13 14
XII	12 9 10 11 13 14	1 2 3 4 5 6 7 8 13 14

The information matrix for estimating treatment effects of first and second set is obtained as follows

$$C = \begin{pmatrix} 12I_{12 \times 12} - 0.756J_{12 \times 12} & -1.467J_{12 \times 2} \\ -1.467J_{2 \times 12} & 24I_{2 \times 2} - 3.2J_{2 \times 2} \end{pmatrix}$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.183\sigma^2, s \neq s', s, s' = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.134\sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Average variance is $0.155\sigma^2$.

The series can also be obtained by arranging the first associates in the first column and the second associates in the second column and augmenting v_2 new treatments in each cell of both the columns resulting in BBP-GRC design with incomplete rows.

Example 3.2.3: Consider a group divisible association scheme with parameters $v_1 = v_2 = 7$. Arrange the first associates in the first column and the second associates in the second column and augment 2 new treatments in each cell of both the columns resulting in BBP-GRC design with parameter $v_1 = 12, v_2 = 2, p = 12, q = 2, r_1 = 11, r_2 = 24, k_1 = 5$ and $k_2 = 10$:

Rows	Columns														
	I					II									
I	2	3	4	13	14	5	6	7	8	9	10	11	12	13	14
II	1	3	4	13	14	5	6	7	8	9	10	11	12	13	14
III	1	2	4	13	14	5	6	7	8	9	10	11	12	13	14
IV	1	2	3	13	14	5	6	7	8	9	10	11	12	13	14
V	6	7	8	13	14	1	2	3	4	9	10	11	12	13	14
VI	5	7	8	13	14	1	2	3	4	9	10	11	12	13	14
VII	5	6	8	13	14	1	2	3	4	9	10	11	12	13	14
VIII	5	6	7	13	14	1	2	3	4	9	10	11	12	13	14
IX	10	11	12	13	14	1	2	3	4	5	6	7	8	13	14
X	9	11	12	13	14	1	2	3	4	5	6	7	8	13	14
XI	9	10	12	13	14	1	2	3	4	5	6	7	8	13	14
XII	9	10	11	13	14	1	2	3	4	5	6	7	8	13	14

The information matrix for estimating treatment effects of first and second set is obtained as follows

$$C = \begin{pmatrix} 10.93I_{12 \times 12} - 0.678J_{12 \times 12} & -1.41J_{12 \times 2} \\ -1.41J_{2 \times 10} & 24I_{2 \times 2} - 3.6J_{2 \times 2} \end{pmatrix}$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.183\sigma^2, s \neq s', s = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.134\sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Average Variance $0.169\sigma^2$

Method 3.3: Consider any GRC design with parameters v^*, p^*, q^*, r^* and k^* . Out of v^* treatments, cu treatments ($c > 1, u > 1$) such that $cu \leq (v^* - 2)$ and divide these cu treatments into c sets of size u each. Replace all the treatments of 1st set of size u with 1st control treatment, 2nd set with 2nd control treatment and so on c^{th} set with c^{th} control treatment. The resulting design is BBP-GRC design for comparing $v_1 = (v^* - cu)$ test treatments, $v_2 = c$ control treatments in $p = p^*$ rows, $q = q^*$ columns, $r_1 = r^*, r_2 = ur^*$ and $k = k^*$.

Example 3.3.1: Consider the following GRC design (Datta *et al.*, 2016) with parameters $v^* = 7, p^* = 3, q^* = 7, r^* = 6$ and $k^* = 2$:

Rows	Columns						
	I	II	III	IV	V	VI	VII
I	1 7	2 1	3 2	4 3	5 4	6 4	7 6
II	2 6	3 7	4 1	5 2	6 3	7 4	1 5
III	3 5	4 6	5 7	6 1	7 2	1 3	2 4

Let $u = 2$ and $c = 2$, replace the last set of 2 treatments (6 and 7) with one control (5) and second

last set of 2 treatments (4 and 5) with another control (4). The design so obtained is a BBP-GRC design for comparing a set of $v_1=3$ (1, 2, 3) treatments of first set replicated $r_1=6$ times with $v_2=2$ (4, 5) treatments of second set replicated $r_2=12$ times in $p=p^*=3$ rows, $q=q^*=7$ columns and cell size $k=2$. The design is as shown below.

Rows	Columns						
	I	II	III	IV	V	VI	VII
I	1 5	2 1	3 2	4 3	4 4	5 4	5 5
II	2 5	3 5	4 1	4 2	5 3	5 4	1 4
III	3 4	4 5	4 5	5 1	5 2	1 3	2 4

The information matrix for estimating treatment effects is obtained as follows:

$$C = \begin{pmatrix} 5.833I_3 - 0.833J_{3 \times 3} & -1.667J_{3 \times 2} \\ -1.667J_{2 \times 3} & 11.666I_2 - 3.333J_{2 \times 2} \end{pmatrix}$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.343\sigma^2, s \neq s', s, s' = 1, 2, \dots, v_1$$

$$V(\hat{\tau}_s - \hat{\tau}_{s'}) = 0.257\sigma^2, s \neq s', s = 1, 2, \dots, v_1, s' = v_1 + 1, \dots, v_2$$

Average variance is $0.274\sigma^2$.

It is seen that in all the methods obtained above for constructing BBP-GRC designs, the contrast for first set versus second set of treatments is estimated more precisely i.e. estimated variances pertaining to test vs control treatments is less as compared to that of test vs test comparisons.

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