



## **Analysis of Balanced Incomplete Block Design with Mixed-up Plots**

**Prabha George and P. Yageen Thomas\***

*University of Kerala, Kariavattom, Thiruvananthapuram*

Received 07 July 2008; Revised 20 December 2009; Accepted 13 January 2010

---

### **SUMMARY**

In this paper, we consider the problem of analysis of Balanced Incomplete Block Design (BIBD) in which yields of two plots get mixed-up. Estimates of mixed-up values, explicit expression for bias and test statistic for testing the hypothesis on homogeneity of treatment effects are developed. Also an illustrative example is given.

*Keywords:* Mixed-up plots, Balanced Incomplete Block Design.

---

### **1. INTRODUCTION**

In certain experiments, especially in agriculture, due to either accidental error or negligence of the experimental staff or loss of proper labels of the observation kits of some plots, it becomes almost impossible to identify the actual yields of those plots, but however the sum total of the yields of all those plots are available. In this case we say that the yields of such plots are mixed-up. Bose and Mahalanobis (1938) have narrated their experience with such problems in some important experiments.

Bose and Mahalanobis (1938) have obtained estimates of the mixed-up values involved in some basic designs when there are only two mixed-up yields. Bose (1938) has given a general method of estimating mixed-up values when several observations get mixed-up together. For some other references on mixed-up analysis see Chakrabarthy (1962) and Deo and Kharshikar (1988). Techniques of estimation of mixed-up values have been attempted in other ways as well. To estimate mixed-up observations, iterative and non-iterative computer procedures are given by Preece and Gower (1974) and John and Lewis (1975) respectively. Another approach of estimation of mixed-up values is

by using the covariance method and is given in Nair (1940) and Patricia (1981).

In certain experiments, because of shortage of experimental apparatus or facilities or physical size of the block, we may be constrained in choosing enough numbers of plots in a block to apply treatments. In such experiments Balanced Incomplete Block Design (BIBD) is one of the most commonly used experimental design. In BIBD with mixed-up observations, due to the non-availability of explicit form for test statistic and other expressions required in the analysis, researchers in applied sciences resort to other crude methods. So in this paper we consider a BIBD with two mixed-up plots and derive reasonable estimates of mixed-up yields, obtain explicit expression for bias involved in the treatment sum of squares and develop expression for the test statistic to test the hypothesis on homogeneity of treatment effects, for each of the different cases.

### **2. ANALYSIS OF BIBD WITH TWO MIXED-UP PLOTS**

Consider a BIBD with  $v$  treatments,  $b$  blocks each containing  $k$  plots, each treatment occurring  $r$  times and

---

\* *Corresponding author* : P. Yageen Thomas  
*E-mail address* : [yageenthomas@gmail.com](mailto:yageenthomas@gmail.com)

any two treatments occurring together in  $\lambda$  blocks. If  $Y_{ij}$  is the yield of the  $i^{\text{th}}$  treatment in the  $j^{\text{th}}$  block, the mathematical model assumed will be,

$$Y_{ij} = n_{ij}(\alpha_i + \beta_j + e_{ij}) \quad i = 1, 2, \dots, v; j = 1, 2, \dots, b$$

where

$$n_{ij} = \begin{cases} 1 & \text{if } i^{\text{th}} \text{ treatment occurs in } j^{\text{th}} \text{ block} \\ 0 & \text{otherwise} \end{cases}$$

$\alpha_i$  and  $\beta_j$  are the  $i^{\text{th}}$  treatment and  $j^{\text{th}}$  block effects respectively and  $e_{ij}$ ,  $i = 1, 2, \dots, v$ ,  $j = 1, 2, \dots, b$  are independent and identically distributed  $N(0, \sigma^2)$  variables. We denote  $i^{\text{th}}$  treatment total by  $T_i$  and  $j^{\text{th}}$  block total by  $B_j$  provided there are no mixed-up values in the  $i^{\text{th}}$  treatment and  $j^{\text{th}}$  block. In this case if we also

define  $Q_i = T_i - \sum_j \frac{n_{ij} B_j}{k}$ , then the error sum of squares

associated with the above model is,

$$R_0^2 = \sum_{ij} n_{ij} Y_{ij}^2 - \sum_j \frac{B_j^2}{k} - \frac{k}{\lambda v} \sum_i Q_i^2 \sim \sigma^2 \chi_{(kb-b-v+1)}^2 \quad (2.1)$$

The conditional error sum of squares subject to  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$  is

$$R_1^2 = \sum_{ij} n_{ij} Y_{ij}^2 - \sum_j \frac{B_j^2}{k} \sim \sigma^2 \chi_{(kb-b)}^2 \quad (\text{Under } H_0) \quad (2.2)$$

$$= R_0^2 + T$$

where  $T = \frac{k}{\lambda v} \sum_i Q_i^2 \sim \sigma^2 \chi_{(v-1)}^2 \quad (\text{Under } H_0) \quad (2.3)$

If the observation corresponding to  $Y_{ij}$  get mixed-up with another observation, then we write  $B'_j$  and  $T'_i$  to denote the sum of all non mixed-up values in the  $j^{\text{th}}$  block and sum of all non mixed-up values for the  $i^{\text{th}}$  treatment respectively. Suppose the two yields  $Y_{lm}$  and  $Y_{pq}$  are mixed-up and 'a' be the total yield of these two mixed-up plots. Let  $Y_{lm} = X$  then  $Y_{pq} = a - X$ . To estimate  $X$  express  $R_0^2$  given in (2.1) as a function of  $X$ , say  $R_0^2(X)$ , then the value  $\hat{X}$  of  $X$  which minimizes  $R_0^2(X)$  is taken as an estimate of  $X$ . If we define

$T(\hat{X})$  as the treatment sum of squares  $T$  obtained from (2.3) after replacing  $X$  by  $\hat{X}$ , then it need not be the correct treatment sum of squares for testing  $H_0$ . Hence

to obtain the bias in  $T(\hat{X})$  first we express  $R_1^2$  given

in (2.2) as a function of  $X$  (that is  $R_1^2(X) = R_0^2(X) + T(X)$ ) and determine the value  $X^*$  of  $X$  which minimizes  $R_1^2(X)$ . Then the bias involved in  $T(\hat{X})$  is

$$B = R_1^2(\hat{X}) - R_1^2(X^*) \quad (2.4)$$

If explicit expression for bias is obtained, then one can write the adjusted treatment sum of squares as  $T' = T(\hat{X}) - B$  and has  $\sigma^2 \chi_{(v-1)}^2$  under  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ . Then to test  $H_0$ , we use the F statistic

$$F = \frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

In the next three sections we obtain the explicit expressions for  $\hat{X}$ ,  $X^*$  and  $B$  so as to complete the analysis of BIBD with two mixed-up values.

### 3. ANALYSIS WHEN THE MIXED-UP PLOTS BELONG TO DIFFERENT BLOCKS AND DIFFERENT TREATMENTS

Let  $Y_{lm} = X$  and  $Y_{pq} = a - X$  be the mixed-up values in which 'a' is known. Let the  $i^{\text{th}}$  treatment be denoted by  $t_i$ ,  $i = 1, 2, \dots, v$  and  $S$  be the set of all these  $v$  treatments, which is partitioned as  $S = S_1 \cup S_2 \cup S_3 \cup S_4 \cup S_5 \cup S_6$  where,  $S_1 = \{t_i : n_{im} = 0, n_{iq} = 0\}$  represents the set of all treatments which do not occur in the  $m^{\text{th}}$  and  $q^{\text{th}}$  blocks.  $S_2 = \{t_i : n_{im} = 1, n_{iq} = 1 \text{ and } i \neq l, p\}$  represents the set of all treatments other than  $t_l$  and  $t_p$  but occur in both  $m^{\text{th}}$  and  $q^{\text{th}}$  blocks.  $S_3 = \{t_i : n_{im} = 1, n_{iq} = 0 \text{ and } i \neq l, p\}$  represents the set of all treatments other than  $t_l$  and  $t_p$  which occur in the  $m^{\text{th}}$  block but not in the  $q^{\text{th}}$  block.  $S_4 = \{t_i : n_{im} = 0, n_{iq} = 1 \text{ and } i \neq l, p\}$ , represents the set of all treatments other than  $t_l$  and  $t_p$  which occur in the  $q^{\text{th}}$  block but not in the  $m^{\text{th}}$  block,  $S_5 = \{t_l\}$  and  $S_6 = \{t_p\}$ .

When the mixed-up plots belong to different blocks and different treatments, there may arise the following four different cases.

**3.1 Case 1**

Consider the case when  $t_l$  occurs in the  $m^{\text{th}}$  block but not in the  $q^{\text{th}}$  block, and  $t_p$  occurs in the  $q^{\text{th}}$  block but not in the  $m^{\text{th}}$  block. That is  $n_{lm} = 1, n_{lq} = 0, n_{pm} = 0, n_{pq} = 1$ . In this case the cardinal number of the set  $S_3$  and  $S_4$  are equal. Let it be  $c_1$ .

The error sum of squares is

$$R_0^2(X) = X^2 + (a - X)^2 - \frac{(B'_m + X)^2}{k} - \frac{(B'_q + a - X)^2}{k} - \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} Q_i^2 + \sum_{i \in S_4} Q_i^2 + Q_l^2 + Q_p^2 \right\} + \text{Terms independent of } X \quad (3.1.1)$$

where

$$\sum_{i \in S_3} Q_i^2 = \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2$$

where  $Q_i^{(m)} = T_i - \frac{(\sum_j n_{ij} B_j + B'_m)}{k}$  (3.1.2)

$$\sum_{i \in S_4} Q_i^2 = \sum_{i \in S_4} \left( Q_i^{(q)} - \frac{a}{k} + \frac{X}{k} \right)^2$$

where  $Q_i^{(q)} = T_i - \frac{(\sum_j n_{ij} B_j + B'_q)}{k}$  (3.1.3)

$$Q_l^2 = \left[ Q_l^{(1)} + \frac{X(k-1)}{k} \right]^2$$

where  $Q_l^{(1)} = T_l - \frac{(\sum_j n_{lj} B_j + B'_m)}{k}$  (3.1.4)

$$Q_p^2 = \left[ Q_p^{(1)} + \frac{a(k-1)}{k} - \frac{X(k-1)}{k} \right]^2$$

where  $Q_p^{(1)} = T_p - \frac{(\sum_j n_{pj} B_j + B'_q)}{k}$  (3.1.5)

Use of equations (3.1.2) to (3.1.5) in (3.1.1) gives

$$R_0^2(X) = X^2 + (a - X)^2 - \frac{(B'_m + X)^2}{k} - \frac{(B'_q + a - X)^2}{k} - \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2 + \sum_{i \in S_4} \left( Q_i^{(q)} - \frac{a}{k} + \frac{X}{k} \right)^2 + \left[ Q_l^{(1)} + \frac{X(k-1)}{k} \right]^2 + \left[ Q_p^{(1)} + \frac{a(k-1)}{k} - \frac{X(k-1)}{k} \right]^2 \right\} + \text{Terms independent of } X$$

Equating  $\frac{\partial R_0^2(X)}{\partial X} = 0$  we get,

$$\hat{X} = \frac{a}{2} + \frac{\lambda v(B'_m - B'_q) + k Q_{(1)}}{2d_1}$$

where

$$Q_{(1)} = \sum_{i \in S_4} Q_i^{(q)} - \sum_{i \in S_3} Q_i^{(m)} + (k-1)(Q_l^{(1)} - Q_p^{(1)})$$

and,  $d_1 = \lambda v(k-1) - c_1 - (k-1)^2$

In this case the value  $X^*$  which minimizes the conditional error sum of squares  $R_1^2(X)$  is

$$X^* = \frac{a}{2} + \frac{(B'_m - B'_q)}{2(k-1)}$$

Using the relation (2.4), the non-negative bias in  $T(\hat{X})$  is given by

$$B = \frac{\left[ \{c_1 + (k-1)^2\} (B'_m - B'_q) + k(k-1)Q_{(1)} \right]^2}{2k(k-1)d_1^2}$$

Now to test  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ , the test statistic to be used is

$$\frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

**3.2 Case 2**

Consider the case when  $t_l$  occurs both in the  $m^{th}$  and  $q^{th}$  blocks and  $t_p$  occurs in the  $q^{th}$  block but not in the  $m^{th}$  block. That is  $n_{lm} = 1, n_{lq} = 1, n_{pq} = 1$  and  $n_{pm} = 0$ . In this case if the cardinal number of the set  $S_4$  is  $c_2$  then it is easy to see that the cardinal number of  $S_3$  is  $c_2 + 1$ . In this case we can write  $Q_l^{(2)}$  as

$$Q_l^{(2)} = \left[ T_l' - \frac{(\sum_j n_{lj} B_j + B_{(m,q)})}{k} + X - \frac{a}{k} \right]^2$$

where  $B_{(m,q)} = B_m' + B_q'$

$$= \left[ Q_l^{(2)} + X - \frac{a}{k} \right]^2$$

where  $Q_l^{(2)} = T_l' - \frac{(\sum_j n_{lj} B_j + B_{(m,q)})}{k}$

All other terms involved in  $R_0^2$  are same as that of Case 1. Then the error sum of squares can be written as

$$R_0^2(X) = X^2 + (a - X)^2 - \frac{(B_m' + X)^2}{k} - \frac{(B_q' + a - X)^2}{k} - \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2 \right\} + \sum_{i \in S_4} \left( Q_i^{(q)} - \frac{a}{k} + \frac{X}{k} \right)^2 + \left( Q_l^{(2)} + X - \frac{a}{k} \right)^2 + \left( Q_p^{(1)} + \frac{a(k-1)}{k} - \frac{X(k-1)}{k} \right)^2 \Bigg\} + \text{Terms independent of } X$$

By equating  $\frac{\partial R_0^2(X)}{\partial X}$  to zero we get,

$$\hat{X} = \frac{a}{2} + \frac{\lambda v(B_m' - B_q') + k Q_{(2)}}{2d_2}$$

where

$$Q_{(2)} = \sum_{i \in S_4} Q_i^{(q)} - \sum_{i \in S_3} Q_i^{(m)} + k Q_l^{(2)} - (k-1) Q_p^{(1)}$$

and  $d_2 = \lambda v(k-1) - c_2 - (k-1)^2 - k$

As in case 1, here also we get  $X^*$  as

$$X^* = \frac{a}{2} + \frac{(B_m' - B_q')}{2(k-1)}$$

Using relation (2.4), the non-negative bias in  $T(\hat{X})$  is given by

$$B = \frac{\left[ \left\{ c_2 + k + (k-1)^2 \right\} (B_m' - B_q') + k(k-1) Q_{(2)} \right]^2}{2k(k-1) d_2^2}$$

Now to test  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ , the test statistic to be used is

$$\frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

**3.3 Case 3**

Consider the case when  $t_p$  occurs both in the  $m^{th}$  and  $q^{th}$  blocks and  $t_l$  occurs in the  $m^{th}$  block but not in the  $q^{th}$  block. That is  $n_{pm} = 1, n_{pq} = 1, n_{lm} = 1$  and  $n_{lq} = 0$ . In this case, if the cardinal number of the set  $S_3$  is  $c_3$  then as in Case 2 the cardinal number of  $S_4$  is  $c_3 + 1$ . In this case  $Q_p^{(2)}$  can be written as

$$Q_p^{(2)} = \left[ Q_p^{(2)} + \frac{a(k-1)}{k} - X \right]^2$$

where  $Q_p^{(2)} = T_p' - \frac{(\sum_j n_{pj} B_j + B_{(m,q)})}{k}$

All other terms involved in  $R_0^2$  are same as that of Case 1. Then the error sum of squares can be written as

$$R_0^2(X) = X^2 + (a - X)^2 - \frac{(B_m' + X)^2}{k} - \frac{(B_q' + a - X)^2}{k} - \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2 \right\}$$

$$\begin{aligned}
 &+ \sum_{i \in S_4} \left( Q_i^{(q)} - \frac{a}{k} + \frac{X}{k} \right)^2 + \left( Q_l^{(1)} + \frac{X(k-1)}{k} \right)^2 \\
 &+ \left( Q_p^{(2)} + \frac{a(k-1)}{k} - X \right)^2 \Big\} \\
 &+ \text{Terms independent of } X
 \end{aligned}$$

By equating  $\frac{\partial R_0^2(X)}{\partial X}$  to zero we get,

$$\hat{X} = \frac{a}{2} + \frac{\lambda v(B'_m - B'_q) + k Q_{(3)}}{2d_3}$$

where

$$Q_{(3)} = \sum_{i \in S_4} Q_i^{(q)} - \sum_{i \in S_3} Q_i^{(m)} - k Q_p^{(2)} + (k-1)Q_l^{(1)}$$

and  $d_3 = \lambda v(k-1) - c_3 - (k-1)^2 - k$

Here also we get  $X^*$  as,  $X^* = \frac{a}{2} + \frac{(B'_m - B'_q)}{2(k-1)}$

Using relation (2.4), the non-negative bias in  $T(\hat{X})$  is given by

$$B = \frac{\left[ \{c_3 + k + (k-1)^2\} (B'_m - B'_q) + k(k-1)Q_{(3)} \right]^2}{2k(k-1)d_3^2}$$

Now to test  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ , the test statistic to be used is

$$\frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

### 3.4 Case 4

Consider the case when  $t_l$  and  $t_p$  occurs both in the  $m^{\text{th}}$  and  $q^{\text{th}}$  blocks. That is  $n_{lm} = 1, n_{lq} = 1, n_{pm} = 1$  and  $n_{pq} = 1$ . In this case clearly the cardinal numbers of both  $S_3$  and  $S_4$  are equal and let it be denoted by  $c_4$ . In this case we can write  $Q_l^2$  and  $Q_p^2$  as follows

$$Q_l^2 = \left[ Q_l^{(2)} + X - \frac{a}{k} \right]^2$$

$$Q_p^2 = \left[ Q_p^{(2)} + \frac{a(k-1)}{k} - X \right]^2$$

All other terms involved in  $R_0^2$  are same as that of Case 1. Then the error sum of squares can be written as

$$\begin{aligned}
 R_0^2(X) &= X^2 + (a-X)^2 - \frac{(B'_m + X)^2}{k} - \frac{(B'_q + a - X)^2}{k} \\
 &- \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2 \right. \\
 &+ \sum_{j \in S_4} \left( Q_j^{(p)} - \frac{a}{k} + \frac{X}{k} \right)^2 + \left( Q_m^{(2)} + X - \frac{a}{k} \right)^2 \\
 &+ \left. \left( Q_q^{(2)} + \frac{a(k-1)}{k} - X \right)^2 \right\} \\
 &+ \text{Terms independent of } X
 \end{aligned}$$

By equating  $\frac{\partial R_0^2(X)}{\partial X}$  to zero we get

$$\hat{X} = \frac{a}{2} + \frac{\lambda v(B'_m - B'_q) + k Q_{(4)}}{2d_4}$$

where  $Q_{(4)} = \sum_{j \in S_4} Q_j^{(q)} - \sum_{i \in S_3} Q_i^{(m)} + k(Q_l^{(2)} - Q_p^{(2)})$

and  $d_4 = \lambda v(k-1) - c_4 - k^2$

As in the previous cases, the value  $X^*$  which minimizes the conditional error sum of squares  $R_1^2(X)$  is

$$X^* = \frac{a}{2} + \frac{(B'_m - B'_q)}{2(k-1)}$$

Using relation (2.4), the non-negative bias in  $T(\hat{X})$  is given by

$$B = \frac{\left[ (c_4 + k^2)(B'_m - B'_q) + k(k-1)Q_{(4)} \right]^2}{2k(k-1)d_4^2}$$

Now to test  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ , the test statistic to be used is

$$\frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

**4. MIXED-UP PLOTS BELONG TO SAME TREATMENT**

Let  $Y_{lm} = X$  and  $Y_{lq} = a - X$  be the mixed-up values in which 'a' is known. Let  $S$  be partitioned as  $S = S_1 \cup S_2 \cup S_3 \cup S_4$ . Where  $S_1 = \{t_i : n_{im} = 0, n_{iq} = 0, i = 1, 2, \dots, v\}$  represents the set of all treatments which do not occur in the  $m^{th}$  and  $q^{th}$  blocks.  $S_2 = \{t_i : n_{im} = 1, n_{iq} = 1, i = 1, 2, \dots, v\}$  represents the set of all treatments which occur in both  $m^{th}$  and  $q^{th}$  blocks.  $S_3 = \{t_i : n_{im} = 1, n_{iq} = 0, j = 1, 2, \dots, v\}$  represents the set of all treatments occur in the  $m^{th}$  block but not in the  $q^{th}$  block.  $S_4 = \{t_i : n_{im} = 0, n_{iq} = 1, i = 1, 2, \dots, v\}$  represents the set of all treatments which occur in the  $q^{th}$  block but not in the  $m^{th}$  block. In this case the cardinal number

of  $S_3$  and  $S_4$  are equal. Let it be  $c_5$ . Also  $\sum_{i \in S_4} Q_i^2$  and  $\sum_{i \in S_3} Q_i^2$  are all same as that of Case 1 in Section 3. Then

the error sum of squares is

$$R_0^2(X) = X^2 + (a - X)^2 - \frac{(B'_m + X)^2}{k} - \frac{(B'_q + a - X)^2}{k} - \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2 + \sum_{i \in S_4} \left( Q_i^{(q)} - \frac{a}{k} + \frac{X}{k} \right)^2 \right\} + \text{Terms independent of } X$$

By equating  $\frac{\partial R_0^2(X)}{\partial X}$  to zero we get

$$\hat{X} = \frac{a}{2} + \frac{\lambda v(B'_m - B'_q) + k Q_{(5)}}{2 d_5}$$

where  $Q_{(5)} = \sum_{i \in S_4} Q_i^{(q)} - \sum_{i \in S_3} Q_i^{(m)}$

and  $d_5 = \lambda v(k - 1) - c_5$

In this case the value  $X^*$  which minimizes the conditional error sum of squares  $R_1^2(X)$  is

$$X^* = \frac{a}{2} + \frac{(B'_m - B'_q)}{2(k-1)}$$

Using relation (2.4), the non-negative bias in  $T(\hat{X})$  is given by

$$B = \frac{[c_5(B'_m - B'_q) + k(k-1)Q_{(5)}]^2}{2k(k-1)d_5^2}$$

Now to test  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ , the test statistic to be used is

$$\frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

**5. MIXED-UP PLOTS BELONG TO SAME BLOCK**

Let  $Y_{lm} = X$  and  $Y_{pm} = a - X$  be the mixed-up values in which 'a' is known. The set of all treatments  $S$  be partitioned as,  $S = S_1 \cup S_2 \cup S_3$  where  $S_1 = \{t_i : i \neq l, p\}$  represents the set of all treatments other than  $t_l$  and  $t_p$ ,  $S_2 = \{t_l\}$  and  $S_3 = \{t_p\}$ . We have

$$Q_l^2 = \left[ Q_l^{(3)} + X - \frac{a}{k} \right]^2$$

where  $Q_l^{(3)} = T'_l - \frac{(\sum_j n_{lj} B_j + B'_m)}{k}$

$$Q_p^2 = \left[ Q_p^{(3)} + \frac{a(k-1)}{k} - X \right]^2$$

where  $Q_p^{(3)} = T'_p - \frac{(\sum_j n_{pj} B_j + B'_m)}{k}$

The error sum of squares is

$$R_0^2(X) = X^2 + (a - X)^2 - \frac{k}{\lambda v} \left\{ \sum_{i \in S_3} \left( Q_i^{(m)} - \frac{X}{k} \right)^2 + \sum_{i \in S_4} \left( Q_i^{(q)} - \frac{a}{k} + \frac{X}{k} \right)^2 \right\} + \text{Terms independent of } X$$

By equating  $\frac{\partial R_0^2(X)}{\partial X}$  to zero we get

$$\hat{X} = \frac{a}{2} + \frac{k Q_{(6)}}{2(\lambda v - k)}$$

where,  $Q_{(6)} = Q_l^{(3)} - Q_p^{(3)}$

In this case the value  $X^*$  which minimizes the conditional error sum of squares  $R_1^2(X)$  is

$$X^* = \frac{a}{2}$$

Using relation (2.4), the non-negative bias in  $T(\hat{X})$  is given by

$$B = \frac{[kQ_{(6)}]^2}{2(\lambda v - k)^2}$$

Now to test  $H_0 : \alpha_1 = \alpha_2 = \dots = \alpha_v$ , the test statistic to be used is

$$\frac{T'/(v-1)}{[R_0^2(\hat{X})]/(kb-b-v)} \sim F(v-1, kb-b-v)$$

### 6. APPLICATION

The following example from Das and Giri (1986) is used to illustrate the applications of the results derived in this paper. Table 6.1 gives the results of an experiment in BIBD with  $v = 13, b = 13, k = 4, r = 4$  and  $\lambda = 1$ . Block 1, for example contained treatments  $t_3, t_6, t_9$  and  $t_{11}$ , and the figures in the table in the columns 1 to 13 are the yields obtained.

Though no mixed-up values occur in this experiment, for illustration purpose, two plot yields are chosen as mixed-up for each of the possible cases. Using the theory developed in this paper we have obtained the estimators of the mixed-up plots, bias and test statistic for all possible cases.

- Suppose  $Y_{4,6}$  and  $Y_{12,7}$  are mixed-up, then we have situation in Section 3.1 with  $a = 121$ . The estimated values are  $Y_{4,6} = 61.2$  and  $Y_{12,7} = 59.8$  (the actual yields in these plots were 60 and 61 respectively). Also,  $B = 0.449, 0.449, R_0^2(\hat{X}) = 2229.4, T(\hat{X}) = 1389.36$  and  $F = 1.3498$ .
- If  $Y_{4,10}$  and  $Y_{11,11}$  are mixed-up, then we have situation in Section 3.2 with  $a = 116$ . The estimate

Table 6.1

Treatment	Block												
	1	2	3	4	5	6	7	8	9	10	11	12	13
$t_1$							69		77		72	63	
$t_2$				54					65	57			61
$t_3$	50	45						68	75				
$t_4$		38				60				60	62		
$t_5$				54		65	62	65					
$t_6$	39					54						67	63
$t_7$					47			66			52		79
$t_8$		65		70	60							54	
$t_9$	58				61		52			53			
$t_{10}$			31		64	65			63				
$t_{11}$	49		37	34							56		
$t_{12}$		44	63				61						53
$t_{13}$			53					75		70		84	



values are,  $Y_{4,10} = 61.17$  and  $Y_{11, 11} = 54.83$  (the actual yields in these plots were 60 and 56 respectively). Also,  $B = 51.04$ ,  $R_0^2(\hat{X}) = 2229.83$ ,  $T(\hat{X}) = 1407.5014$  and  $F = 1.318$ .

- If the mixed-up values are  $Y_{1,9}$  and  $Y_{2,10}$ , then we have situation in Section 3.3 with  $a=134$ . In this case the estimated values are,  $Y_{1,9} = 77.33$  and  $Y_{2,10} = 56.67$  (the actual yields in these plots were 77 and 57 respectively). Also,  $B = 73.5$ ,  $R_0^2(\hat{X}) = 2224.54$ ,  $T(\hat{X}) = 1401.22$  and  $F = 1.29$ .
- Suppose mixed-up are  $Y_{3,8}$  and  $Y_{3,9}$ , then we have situation in Section 4 with  $a=143$ . The estimated values are,  $Y_{3,8} = 69.44$  and  $Y_{3,9} = 73.55$  (the actual yields in these plots were 68 and 75 respectively). Also,  $B = 7.8776$ ,  $R_0^2(\hat{X}) = 2209.588$ ,  $T(\hat{X}) = 1396.6$  and  $F = 1.36$ .
- When  $Y_{1,11}$  and  $Y_{4,11}$ , are mixed-up then we have situation in Section 5 with  $a=134$ . The estimated values are,  $Y_{1,11} = 71.88$  and  $Y_{4,11} = 62.11$  (the actual yields in these plots were 72 and 62 respectively). Also,  $B = 47.8$ ,  $R_0^2(\hat{X}) = 2221.473$ ,  $T(\hat{X}) = 1395.1735$  and  $F = 1.321$ .

The appropriate tabular value of F at 5% level of significance is 2.96, which is greater than the calculated F value in all the above cases. So the test indicates that in all cases the treatment effects are homogeneous. It may be noted that if the original data is used as such (without any mixed-up case), the F statistic for testing the homogeneity of treatment is equal to 1.4 which is also not significant.

**Note :** If the number of mixed-up values is more than two, then getting closed form of expression for the error sum of squares and corrected treatment sum of squares

become tedious. However the results of this paper helps any practicing statistician to choose appropriate exact estimates and test statistics for a BIBD with only two mixed-up values.

#### ACKNOWLEDGEMENTS

The authors are highly grateful for many of the constructive and fruitful suggestions of the referee which contributed for many improvements in the present version of the paper.

#### REFERENCES

- Bose, S.S. (1938). The estimation of mixed-up yields and their standard errors. *Sankhya*, **4**, 112-120.
- Bose, S.S. and Mahalanobis, C. (1938). On estimating individual yields in the case of mixed-up yields of two or more plots in field experiments. *Sankhya*, **4**, 103-111.
- Chakrabarathi, M.C. (1962). *Mathematics of Design and Analysis of Experiments*. Asia Publishing House.
- Das, M.N. and Giri, N.C. (1986). *Design and Analysis of Experiments*. Second edition, Wiley Eastern Limited.
- Deo, S.S. and Kharshikar, A.V. (1988). Effect of two mixed-up yields in a randomized block design. *Cal. Statist. Assoc. Bull.*, **37**, 105-109.
- John, J.A. and Lewis, S.M. (1976). Mixed-up values in experiments. *Appl. Stat.*, **25**, 61-63.
- Nair, K.R. (1940). The application of the technique of analysis of covariance to field experiments with several missing or mixed-up plots. *Sankhya*, **4**, 581-588.
- Patricia, L. (1981). The use of analysis of covariance to analyze data from designed experiments with missing or mixed-up values. *Appl. Stat.*, **30**, 1-8.
- Preece, D.A. and Gower, J.C. (1974). An iterative computer procedure for mixed-up values in experiments. *Appl. Stat.*, **23**, 73-74.