

# **Randomized Response Trial using Geometric Distribution**

**Raghunath Arnab** 

University of KwaZulu-Natal, South Africa Received 27 January 2020; Revised 07 January 2022; Accepted 18 January 2022

#### **SUMMARY**

Randomized response (RR) technique is used to collect data relating to sensitive issues. RR using the Geometric distribution was proposed by Singh and Grewal (2013) and showed empirically that their method performs better than the Kuk's (1990) model. In this paper, it is shown that the comparison proposed by Singh and Grewal was not fair. A more realistic comparison is proposed in this paper and it is found that the Kuk's model performs better than the Singh and Grewal's model in most situations.

Keywords: Estimation of proportion; Geometric distribution; Randomized response; Relative efficiency.

### 1. INTRODUCTION

In surveys relating to sensitive issues such as induced abortion, drug use, sexual behaviour, suffering from HIV/AIDS, tax evasion among others, the direct method of interview is not suitable because a high percentage of respondents report untrue responses or even refuse to respond because of social stigma and or fear (Arnab, 2017). Interviewers also feel embarrassed to ask such sensitive and personal information. In order to improve the quality of data, protect respondents' privacy and reduce nonresponse rate in surveys, Warner (1965) proposed an indirect method of interview procedure known as Randomized Response (RR) technique. The Warner (1965) RR technique was extended by various researchers including Horvitz et al. (1967), Greenberg et al. (1969), Kim (1978), Arnab (1990, 1996), Kuk (1990), Christofides (2003), Kim and Warde (2004), Arnab and Singh (2007, 2014), Chaudhuri and Dihidar (2014), Rueda et al. (2015), Arcos et al. (2015) for further improvement of respondents' co-operations and enhancing efficiencies of the estimates of the population characteristics of interest. Applications of the RR methods in real live surveys were provided by Van der Heijden et al. (1998) and Arnab and Mothupi (2015). A comprehensive

review of the RR techniques is given by Chaudhuri and Mukerjee (1988) and Arnab (2017).

Recently, Singh and Grewal (2013) proposed the geometric distribution in RR surveys and claimed that their method performed better than the Kuk's (1990) model. In this paper, it is shown that their comparison is not fair enough as their method is based on larger number of trials than that of Warner's (1965) and Kuk's (1990) RR method.

In this paper a new method of comparing efficiencies of the different randomized response (RR) techniques are proposed. In the existing method, efficiencies of the RR techniques are compared by comparing magnitudes of the variances of the estimators of the parameter of interest for the different RR techniques. In the proposed method, the efficiencies of the RR techniques are compared by comparing the magnitude of the variances of the estimators keeping the expected number of trials  $\gamma$  fixed to a certain level.

For example, in the Warner's (1965) model, a respondent performs only one trial (draws only one card from a pack i. e  $\gamma = 1$ ). In Kuk's (1990) model, a respondent draws a fixed number  $c (\geq 1)$  cards while in Sing and Grewal's (2013) model, the number of

*E-mail address*: arnab.raghunath@gmail.com

cards draws by a respondent is not fixed, it is a random variable that follows a geometric distribution.

Under the proposed method of comparison, Kuk's RR model is compared with Warner's model by comparing variance of the estimator of the Kuk's model based on  $\gamma$  number of trials with the variance of Warner's estimator assuming each respondent performs Warner's trial  $\gamma(=c)$  number of times independently. For the comparison of the efficiency of the Warner's model with the Singh-Grewal's model the variance of Singh and Grewal's estimator with  $\gamma$  expected number of trials is compared with the variance of the Warner's estimator assuming that each respondent repeats the Warner's trial  $\gamma$  number of times.

Some of the RR techniques relevant to the present discussion are given in the sequel.

Consider a finite population  $U = \{1, ..., i, ..., N\}$  of Nidentifiable units and let  $y_i$  be the value of the sensitive characteristic y for the  $i^{\text{th}}$  unit. We define  $y_i = 1$  if the  $i^{\text{th}}$  unit possesses the certain sensitive attribute A such as HIV + and  $y_i = 0$  if the  $i^{\text{th}}$  unit does not possesses the attribute i.e the unit belongs to  $\overline{A}$ , the complement of A. Our objective is to estimate the finite population proportion  $\pi = \sum_{i \in U} y_i / N$  using a sample s of size n selected from the population U by using simple random sampling with replacement (SRSWR) method. Since y is a sensitive variable, no direct information of y is available from the respondent and, hence, indirect information relating to y is obtained from each of the selected respondents independently by using any of the following RR techniques.

#### 1.1 Warner's Technique

In Warner's (1965) model, each of the selected respondents is asked to draw one card at random from a pack containing two types of cards. Card type-1, with proportion  $P_w(\neq 1/2)$  bears statement "I belong to the sensitive group A" while card type-2, with proportion  $1-P_w$  bears the statement "I do not belong to the sensitive group A". Respondents are asked to truthfully answer "Yes" if the statement of the drawn card matches with his/her own status and answer "No" otherwise. Let  $z_i = 1(0)$  if the *i*<sup>th</sup> respondent provides a response "Yes" ("No"). Also, let  $E_R(V_R)$  and  $E_p(V_p)$ denote the expectation (variance) with respect to RR model and the sampling design P, respectively. Then we have

$$E_{R}(z_{i}) = y_{i}P_{w} + (1 - y_{i})(1 - P_{w})$$
  
=  $(2P_{w} - 1)y_{i} + (1 - P_{w})$  (1.1.1)

and

$$V_{R}(z_{i}) = y_{i}P_{w} + (1 - y_{i})(1 - P_{w}) - \{y_{i}P_{w} + (1 - y_{i})(1 - P_{w})\}^{2}$$
  
= P\_{w}(1 - P\_{w}) (1.1.2)

(noting  $y_i = y_i^2 = 1 \text{ or } 0$ )

Now writing

$$r_i = \frac{z_i - (1 - P_w)}{2P_w - 1} \tag{1.1.3}$$

one finds that

$$E_{R}(r_{i}) = y_{i}, V_{R}(r_{i}) = \frac{P_{w}(1-P_{w})}{(2P_{w}-1)^{2}} = \phi_{w} \text{ (say) and}$$

$$C_{R}(r_{i},r_{i}) = 0 \text{ for } i \neq j \tag{1.1.4}$$

where  $C_R$  is the co-variance with respect to the RR model.

Let 
$$\overline{r}_w = \frac{1}{n} \sum_{i \in s} r_i = \frac{\overline{z}_w - (1 - P_w)}{2P_w - 1}$$
 and  $\overline{z}_w = \frac{1}{n} \sum_{i \in s} z_i$ 

with  $\sum_{i \in s}$  denoting the sum-over the units in the sample *s* with repetition. Then,

$$E(\overline{r_{w}}) = E_{p}\left[\frac{1}{n}\sum_{i\in s}E_{R}(r_{i})\right] = \frac{1}{N}\sum_{i\in U}y_{i} = \pi \qquad (1.1.5)$$
  
and  $\operatorname{Var}(\overline{r_{w}}) = V_{p}\left[E_{R}(\overline{r_{w}})\right] + E_{p}\left[V_{R}(\overline{r_{w}})\right]$ 
$$= V_{p}\left[\frac{1}{n}\sum_{i\in s}y_{i}\right] + E_{p}\left[\frac{\phi_{w}}{n}\right]$$
$$= \frac{\pi(1-\pi)}{n} + \frac{\phi_{w}}{n}$$
$$= V_{w} \qquad (1.1.6)$$

From (1.1.5) and (1.1.6), we find the following theorem which was originally derived by Warner (1965).

#### **Theorem 1.1.1.**

(i)  $\overline{r}_{w}$  is an unbiased estimator of  $\pi$ 

(ii) 
$$\operatorname{Var}(\overline{r}_w) = \frac{\pi(1-\pi)}{n} + \frac{\phi_w}{n}$$
  
where  $\phi_w = \frac{P_w(1-P_w)}{(2P_w-1)^2}$ 

(iii) An unbiased estimator of  $Var(\overline{r_w})$  is

$$\operatorname{Var}(\overline{r_w}) = \frac{\sum_{i \in s} (r_i - \overline{r_w})^2}{n(n-1)}$$

#### 1.2 Kuk's RR technique

The Kuk (1990) RR technique involves two pack of cards. Each of the respondents belonging to the sensitive group A were asked to draw  $c(\geq 1)$  cards at random with replacement from a pack containing  $\theta_1$  proportion of red and  $1-\theta_1$  proportion of black cards and asked to report the total number of red cards drawn. Similarly, the respondents belonging to the nonsensitive group  $\overline{A}$  were asked to draw c cards with replacement from the other pack containing  $\theta_2 (\neq \theta_1)$ proportion of red cards and  $1-\theta_2$  proportion of black cards and were further asked to report the total number of red cards drawn. Let  $X_i(Q_i)$  be the number of red cards drawn if the  $i^{\text{th}}$  person belongs to the sensitive (non-sensitive) group  $A(\overline{A})$ . Thus, the total number of red cards drawn by the  $i^{\text{th}}$  respondent can be written as

$$z_i = y_i X_i + (1 - y_i) Q_i \tag{1.2.1}$$

From Eq. (1.2.1), one finds that

$$E_{R}(z_{i}) = y_{i}E_{R}(X_{i}) + (1 - y_{i})E_{R}(Q_{i})$$
  
=  $c\{y_{i}\theta_{1} + (1 - y_{i})\theta_{2}\}$  (1.2.2)

and 
$$V_R(z_i) = y_i V_R(X_i) + (1 - y_i) V_R(Q_i)$$
  
=  $c\{y_i \theta_1(1 - \theta_1) + (1 - y_i) \theta_2(1 - \theta_2)\}$  (1.2.3)

Now, let 
$$r_i = \frac{\overline{z_i} - \theta_2}{\theta_1 - \theta_2}$$
 with  $\overline{z_i} = z_i / c$ . Then,  
 $E_R(r_i) = y_i, V_R(r_i) = \frac{V_R(\overline{z_i})}{(\theta_1 - \theta_2)^2}$   
 $= \frac{y_i \theta_1 (1 - \theta_1) + (1 - y_i) \theta_2 (1 - \theta_2)}{c(\theta_1 - \theta_2)^2} = \phi_{ki}$   
and  $C_R(r_i, r_j) = 0$  for  $i \neq j$  (1.2.4)

Now using Theorem 1.1.1, one derives the results in Theorem 1.2.1 which were originally obtained by Kuk (1990).

#### Theorem 1.2.1

(i)  $\overline{r}_{k} = \frac{1}{n} \sum_{i \in s} r_{i} = \frac{\overline{z} - \theta_{2}}{\theta_{1} - \theta_{2}}$  is an unbiased estimator of where  $\overline{z} = \frac{1}{n} \sum_{i \in s} \overline{z}_{i}$ (ii)  $\operatorname{Var}(\overline{r}_{k}) = \frac{\pi(1 - \pi)}{n} + \frac{1}{nN} \sum_{i \in U} \phi_{ki} = \frac{\pi(1 - \pi)}{n} + \frac{\phi_{k}}{n}$  $= V_{k}$  (1.2.5) where  $\phi_{k} = \frac{\pi \theta_{1}(1 - \theta_{1}) + (1 - \pi) \theta_{2}(1 - \theta_{2})}{c(\theta_{1} - \theta_{2})^{2}}$ 

(iii) An unbiased estimator of 
$$Var(\overline{r_k})$$
 is

$$\operatorname{Var}(\overline{r_k}) = \frac{\sum_{i \in s} (r_i - \overline{r_k})^2}{n(n-1)}$$

#### Remark 1.2.1

Kuk's (1990) RR technique reduces to Warner's (1965) technique if c = 1,  $\theta_1 = P_w$  and  $\theta_2 = 1 - P_w$ .

#### 1.3 Singh and Grewal's RR technique

In the Singh and Grewal's (2013) RR model, each of the selected respondents was given two decks of cards. Each of the deck comprises two types of cards as in Warner (1965) and Kuk (1990) model. Deck-I (Deck-II) comprises cards with proportions  $\theta_a(\theta_a^*)$  written statement "I belong to the group A" and the remaining  $1-\theta_{a}$   $(1-\theta_{a}^{*})$  proportion of cards bearing statement "I belong to the group  $\overline{A}$ ". Then, respondents were asked to choose Deck-I (Deck-II) if he/she belongs to the group  $A(\overline{A})$  and draw cards one by one with replacement till he/she receives a card with a statement matches with his/ her own status the first time. The respondents were asked to report the total number of cards he/she has drawn as his/her randomized response. Let  $X_i(Q_i)$  be the number of cards drawn reported by the ith respondent if he/she belongs to the group  $A(\overline{A})$ . Then  $z_{gi}$ , the RR from the  $i^{\text{th}}$  respondent can be expressed as

$$z_{gi} = y_i X_i + (1 - y_i) Q_i$$
(1.3.1)

Since  $X_i$  and  $Q_i$  follows the Geometric distribution, we have

$$E_{R}(z_{gi}) = y_{i}E_{R}(X_{i}) + (1 - y_{i})E_{R}(Q_{i})$$
$$= y_{i}(\theta_{g}^{*} - \theta_{g})/(\theta_{g}^{*}\theta_{g}) + 1/\theta_{g}^{*}$$
(1.3.2)

and

$$V_{R}(z_{gi}) = y_{i}V_{R}(X_{i}) + (1 - y_{i})V_{R}(Q_{i})$$
  
=  $y_{i}\frac{1 - \theta_{g}}{\theta_{g}^{2}} + (1 - y_{i})\frac{1 - \theta_{g}^{*}}{\theta_{g}^{*2}}$  (1.3.3)

Now, writing  $r_{gi} = \frac{\theta_g^* z_{gi} - 1}{\theta_g^* - \theta_g} \theta_g$  and assuming  $\theta_g \neq \theta_g^*$  one obtains

$$E(r_i) = y_i, \ V_R(r_{gi}) = \frac{\theta_g^2 \theta_g^{*2} \left\{ y_i \frac{1 - \theta_g}{\theta_g^2} + (1 - y_i) \frac{1 - \theta_g^*}{\theta_g^{*2}} \right\}}{(\theta_g^* - \theta_g)^2} = \phi_{gi}$$
  
and  $C_R(r_{gi}, r_{gj}) = 0$  for  $i \neq j$  (1.3.4)

Finally, following Theorem 1.1.1, one can derive the following results (Theorem 1.3.1) obtained by Singh and Grewal (2013).

#### **Theorem 1.3.1.**

(i)  $\overline{r}_{sg} = \frac{1}{n} \sum_{i \in s} r_{gi} = \frac{\theta_g^* \overline{z}_g - 1}{\theta_g^* - \theta_g} \theta_g$  is an unbiased estimator of  $\pi$ 

where 
$$\overline{z}_g = \frac{1}{n} \sum_{i \in s} \overline{z}_{gi}$$

(ii) 
$$\operatorname{Var}(\overline{r}_{sg}) = \frac{\pi(1-\pi)}{n} + \frac{1}{nN} \sum_{i \in U} \phi_{gi}$$
  

$$= \frac{\pi(1-\pi)}{n} + \frac{\phi_g}{n} = V_{sg} \qquad (1.3.5)$$
where  $\phi_g = \frac{\pi(1-\theta_g)\theta_g^{*2} + (1-\pi)(1-\theta_g^*)\theta_g^2}{(\theta_g^* - \theta_g)^2}$ 

(iii) An unbiased estimator of  $Var(\overline{r_{sg}})$  is

$$\operatorname{Var}(\overline{r_{sg}}) = \frac{\sum_{i \in s} (r_{gi} - \overline{r_g})^2}{n(n-1)}$$

# 2. EXISTING COMPARISON AMONG WARNER'S (1965), KUK'S (1990), AND SINGH AND GREWAL'S (2013) RR MODELS

#### 2.1 Warner's (1965) vs. Kuk's (1990) model

The variance of the Kuk's (1990) estimator  $V_k = \operatorname{Var}(\overline{r_k})$  decreases with the increase of the number of trials *c* and the absolute difference  $|\theta_1 - \theta_2|$ . However, to preserve the respondent's confidence, the difference  $|\theta_1 - \theta_2|$  should be under a certain level. The percentage relative efficiency (RE) of Kuk's (1990) RR model with respect to Warner's (1965) model is given by

$$E_k = \frac{V_w}{V_k} \times 100 \tag{2.1.1}$$

# 2.2 Kuk's (1990) model vs. Singh and Grewal's (2013) model

The percentage relative efficiency of Singh and Grewal's (2013) model with respect to Kuk's (1990) model with c=1 was proposed by Singh and Grewal (2013) is

$$E_{sg} = \frac{V_k}{V_{sg}} \times 100 \tag{2.1.2}$$

From Theorem 1.2.1 and Theorem 1.3.1, we note that for c = 1,

$$\frac{V_{sg} \leq V_{k}}{\text{if}} \\
\frac{\pi(1-\theta_{g})\theta_{g}^{*2} + (1-\pi)(1-\theta_{g}^{*})\theta_{g}^{2}}{(\theta_{g}^{*}-\theta_{g})^{2}} \leq \frac{\pi\theta_{1}(1-\theta_{1}) + (1-\pi)\theta_{2}(1-\theta_{2})}{(\theta_{1}-\theta_{2})^{2}} \\
\frac{\pi\theta_{1}(1-\theta_{1}) + (1-\pi)\theta_{2}(1-\theta_{2})}{(\theta_{1}-\theta_{2})^{2}} \\
(2.1.3)$$

Clearly, the expression (2.1.3) does not provide any simple comparison. Singh and Grewal (2013) computed relative efficiency  $E_{sg}$  numerically by keeping  $\theta_1$ ,  $\theta_2$ and  $\pi$  fixed to certain values then found the values of  $\theta_g$  and  $\theta_g^*$  for which relative exceed 100. For example, for  $\theta_1 = 0.7$ ,  $\theta_2 = 0.2$  and  $\pi = 0.1$ ,  $E_{sg}$  exceeds 100 for  $\theta_g = 0.1$  and  $\theta_g^* = 0.3$ , 0.4,0.5,0.6, 0.7,0.8 and 0.9. However, the comparison is not fair because total number of RR trials required to draw a card bearing the respondent status for Singh and Grewal's (2013) model is a random variable which is at least one. It may be two, three and so on. Hence, the appropriate comparison should involve expected number of RR trials required to produce a card bearing respondent status the first time.

# 3. A NEW METHOD OF COMPARISON OF EFFICIENCIES

The total number of cards drawn by the  $i^{\text{th}}$  respondent in Singh and Grewal (2013) model is a random variable and it is given in Eq (1.3.1) as

$$z_{gi} = y_i X_i + (1 - y_i) Q_i$$
  
and the expected value of  $z_{gi}$  is given by  
$$\gamma = E(z_{gi}) = E_p [y_i E_R(X_i) + (1 - y_i) E_R(Q_i)]$$
$$= \frac{1}{2} i (1 - 2)^{\frac{1}{2}}$$

$$= \pi \frac{1}{\theta_g} + (1 - \pi) \frac{1}{\theta_g^*}$$
  
The values of  $\gamma$  for different values of  $\pi$ ,  $\theta_g$ 

The values of  $\gamma$  for different values of  $\pi$ ,  $\theta_g$  and  $\theta_g^*$  are given in Table 3.1. From Table 3.1 one finds that the expected number of trials  $\gamma$  for Singh and Grewal model ranges from 1.2 to 8.4.

#### 3.1 Warner's model vs. Kuk's model

For the proposed new method of comparison of the relative efficiency of Kuk's model with  $c(=\gamma)$  number of trials with respect to Warner's model, we assume each of the respondents selected in the sample for the Warner's model performs  $\gamma$  RR trials independently. Let  $z_i(j)$  be the RR response obtained by the  $i(\in s)$  th respondent in the  $j(=1,..,\gamma)$  th trial. Then, an unbiased estimator  $\pi$  for the Warner's model becomes

π	θ	θ*	γ	π	θ	θ*	γ	π	θ	θ*	γ	π	θ	θ*	γ
0.1	0.1	0.5	2.8	0.3		0.5	4.4	0.5		0.5	6.000			0.5	7.600
		0.6	2.5			0.6	4.167	0.5	1	0.6	5.833	1		0.6	7.500
		0.7	2.286		0.1	0.7	4	0.5	0.1	0.7	5.714	0.7	0.1	0.7	7.429
		0.8	2.125			0.8	3.875	0.5	1	0.8	5.625	1		0.8	7.375
		0.9	2			0.9	3.778	0.5	]	0.9	5.556	1		0.9	7.333
		0.6	2			0.6	2.667	0.5		0.6	3.333		0.2	0.6	4.000
0.1	0.2	0.7	1.786		0.2	0.7	2.5	0.5		0.7	3.214			0.7	3.929
0.1	0.2	0.8	1.625	0.5	0.2	0.8	2.375	0.5	0.2	0.8	3.125	0.7		0.8	3.875
		0.9	1.500	1		0.9	2.278	0.5	]	0.9	3.056			0.9	3.833
		0.7	1.619			0.7	2.000	0.5		0.7	2.381		0.3	0.7	2.762
0.1	0.3	0.8	1.458	0.3	0.3	0.8	1.875	0.5	0.3	0.8	2.292	0.7		0.8	2.708
		0.9	1.333			0.9	1.778	0.5		0.9	2.222			0.9	2.667
0.1	0.4	0.8	1.375	- 0.3	0.4	0.8	1.625	0.5	0.4	0.8	1.875	0.7	0.4	0.8	2.125
0.1		0.9	1.250		0.4	0.9	1.528	0.5		0.9	1.806	0.7		0.9	2.083
0.1	0.5	0.9	1.200	0.3	0.5	0.9	1.378	0.5	0.5	0.9	1.556	0.7	0.5	0.9	1.733
	0.1	0.5	3.600	0.4	0.1	0.5	5.200	0.6		0.5	6.800		0.1	0.5	8.400
		0.6	3.333		0.1	0.6	5.000	0.6	0.1	0.6	6.667	-		0.6	8.333
0.2		0.7	3.143		0.1	0.7	4.857	0.6		0.7	6.571			0.7	8.286
		0.8	3.000		0.1	0.8	4.750	0.6		0.8	6.500			0.8	8.250
		0.9	2.889		0.1	0.9	4.667	0.6	]	0.9	6.444	0.8		0.9	8.222
		0.6	2.333	0.4	0.2	0.6	3.000	0.6		0.6	3.667	1		0.6	4.333
0.2	0.2	0.7	2.143		0.2 0.7 2.857 0.6 0.7 3.571	3.571	1	0.2	0.7	4.286					
0.2	0.2	0.8	2.000		0.2	0.8	2.750	0.6		0.8	3.500	1		0.8	4.25
		0.9	1.889		0.2	0.9	2.667	0.6	]	0.9	3.444	]		0.9	4.222
		0.7	1.810		0.3	0.7	2.190	0.6		0.7	2.571		0.2	0.7	2.952
0.2	0.3	0.8	1.667	0.4	0.3	0.8	2.083	0.6	0.3	0.8	2.500	1	0.5	0.8	2.917
		0.9	1.556		0.3	0.9	2.000	0.6	]	0.9	2.444		0.4	0.9	2.889
0.2	0.4	0.8	1.500	0.4	0.4	0.8	1.750	0.6	0.4	0.8	2.000	0.8	0.4	0.8	2.250
0.2	0.4	0.9	1.389	0.4	0.4	0.9	1.667	0.6	0.4	0.9	1.944	1	0.5	0.9	2.222
0.2	0.5	0.9	1.289	0.4	0.5	0.9	1.467	0.6	0.5	0.9	1.644	]	0.5	0.9	1.822

Table 3.1. Expected number of trial under Singh and Grewal (2013) model

$$\overline{r}_{w}^{*} = \frac{1}{n} \sum_{i \in s} \overline{r}_{i}$$

$$(3.1.1)$$

$$= -\frac{1}{n} \sum_{i \in s} \overline{r}_{i}$$

where 
$$\overline{r_i} = \frac{1}{\gamma} \sum_{j=1}^{\infty} \frac{2_i (j) - (1 - F_w)}{2P_w - 1}$$
.

and the variance of  $\overline{r}_{w}^{*}$  is given by

 $V_{w}^{*} = \operatorname{Var}(\overline{r}_{w}^{*})$  $= V_{p} \left[ E_{R}(\overline{r}_{w}^{*}) \right] + E_{p} \left[ V_{R}(\overline{r}_{w}^{*}) \right]$ 

$$= V_p \left[ \frac{1}{n} \sum_{i \in s} y_i \right] + E_p \left[ \frac{1}{n^2} \sum_{i \in s} \frac{\phi_w}{\gamma} \right]$$
$$= \frac{1}{n} \left[ \pi (1 - \pi) + \phi_w / \gamma \right]$$
(3.1.2)

From Equations (1.2.5) and (3.1.2) one finds that the Kuk's model becomes more, equal and less efficient than the Warner model under the new method of comparison where each respondent performs  $\gamma$  trials independently is

$$V_{w}^{*} >, = \text{or } < V_{k}^{*}$$
(where  $V_{k}^{*} = \text{Var}(\overline{r_{k}})$  with  $c = \gamma$ )  
i.e. if  

$$\frac{P_{w}(1-P_{w})}{(2P_{w}-1)^{2}} >, = < \frac{\pi\theta_{1}(1-\theta_{1})+(1-\pi)\theta_{2}(1-\theta_{2})}{(\theta_{1}-\theta_{2})^{2}}$$
(3.1.3)

### 3.2 Warner's vs. Singh and Grewal model

From the Equations (3.1.2) and (1.3.5) we note that the Singh and Grewal model becomes more, equal and less efficient than the Warner's model under the new method of comparison if

$$V_{w}^{*} >, = \text{or} < V_{sg}$$
  
i.e.  
$$\frac{P_{w}(1-P_{w})}{\gamma(2P_{w}-1)^{2}} >, = \text{or} < \frac{\pi(1-\theta_{g})\theta_{g}^{*2} + (1-\pi)(1-\theta_{g}^{*})\theta_{g}^{2}}{(\theta_{g}^{*}-\theta_{g})^{2}}$$
(3.2.1)

# 3.3 Kuk vs. Singh and Grewal model

For the new method of comparison Singh and Grewal model becomes more, equal and less efficient than the Kuk's model if

		π											
		0.1				0	0.2		0.3				
α	β	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$
0.1	0.4	325.00	100.99	328.20	909.80	400.00	106.50	426.16	593.96	475.00	111.40	529.21	478.54
0.1	0.5	280.00	91.25	255.50	604.60	360.00	97.42	350.71	405.04	440.00	102.30	450.06	342.10
0.1	0.6	250.00	86.67	216.70	416.70	333.30	93.45	311.49	297.07	416.70	98.19	409.11	266.39
0.1	0.7	228.60	86.03	196.70	295.50	314.30	92.92	292.02	230.11	400.00	97.06	388.25	220.19
0.1	0.8	212.50	89.66	190.50	213.70	300.00	95.15	285.44	185.81	387.50	97.86	379.21	189.91
0.1	0.9	200.00	100.00	200.00	156.20	288.90	100.00	288.89	154.95	377.80	100.00	377.78	168.95
		1				1				I			
0.2	0.5	230.00	94.80	218.00	533.90	260.00	98.33	255.66	376.34	290.00	101.60	294.70	303.89
0.2	0.6	200.00	91.56	183.10	414.60	233.30	95.07	221.82	280.97	266.70	98.04	261.43	228.35
0.2	0.7	178.60	92.86	165.80	314.00	214.30	95.63	204.91	213.45	250.00	97.70	244.25	178.57
0.2	0.8	162.50	100.00	162.50	234.20	200.00	100.00	200.00	165.38	237.50	100.00	237.5	144.61
0.2	0.9	150.00	118.69	178.00	172.10	188.90	109.30	206.50	130.49	227.80	104.80	238.66	120.59
		l				I				I			
0.3	0.6	183.30	96.23	176.40	368.90	200.00	97.53	195.05	273.82	216.70	98.71	213.88	224.27
0.3	0.7	161.90	100.00	161.90	319.60	181.00	100.00	180.95	221.35	200.00	100.00	200.00	178.38
0.3	0.8	145.80	111.37	162.40	263.90	166.70	107.40	178.99	176.5	187.50	104.60	196.07	143.33
		122.20	120.04	106.00	200.10	1.55.60	100 (0	100 50	1 40 05	1 00	112.10	200.00	116.00
0.3	0.9	133.30	139.94	186.00	209.10	155.60	122.60	190.70	140.07	177.80	113.10	200.99	116.83
0.4	0.7	153.60	106.43	163.50	297.30	164.30	104.80	172.17	225.05	175.0	103.40	180.90	185.20
0.4	0.8	137.50	122.36	168.30	281.60	150.00	115.90	173.79	194.06	162.50	110.90	180.21	154.56
0.4	0.9	125.00	161.97	202.50	252.20	138.90	138.50	192.29	162.04	152.80	124.40	190.05	127.78
0.4	0.1	925.00	168.08	155.50	218.70	850.00	144.40	1227.00	267.51	775.00	132.90	1029.70	307.80
0.5	0.8	132 50	132 55	175.60	270.00	140.00	125.00	174 07	200.17	147.50	118 00	175 30	170.37
0.5	0.0	132.50	192.55	221.20	279.90	128.00	125.00	202.07	101.04	137.80	130.10	101.61	1/0.37
0.5	0.9	920.00	143.61	1321.0	157 50	840.00	126.10	1050 30	205 72	760.00	118 20	800 11	241.62
0.5	0.1	470.00	128.25	602.80	139.70	440.00	120.10	530.24	163.04	410.00	115.30	472 78	184.95
0.5	0.2	-1/0.00	120.23	002.00	137.10	0.00	120.50	550.24	105.04	10.00	115.50	т/2./0	107.75

**Table 3.2.** Relative efficiencies of  $\overline{r_w}^*$ ,  $\overline{r_k}$ ,  $\overline{r_k}^*$  and  $\overline{r_{sg}}$ 

0.6	0.9	116.70	207.03	241.50	325.00	122.20	178.10	217.71	226.94	127.80	158.10	202.04	178.10
0.6	0.2	466.70	117.86	550.00	100.70	433.30	111.90	484.68	123.82	400.00	108.20	432.66	143.50
0.6	0.1	916.70	126.51	1160.00	125.20	833.30	114.90	957.41	173.61	750.00	109.90	824.47	207.22
	'					I				I			
0.7	0.1	914.30	114.56	1047.00	106.20	828.60	107.80	893.03	155.05	742.90	104.90	779.59	187.33
0.7	0.2	464.30	108.35	503.10	77.55	428.60	105.1	450.54	100.82	392.9	103.3	405.74	119.14
0.7	0.3	314.30	100	314.30	78.35	295.20	100	295.24	93.51	276.2	100	276.19	107.45
0.7	0.4	239.3	96.29	230.40	88.97	228.60	97.56	223.01	100.47	217.9	98.71	215.06	112.37
							7	r.					
				0.4			0	.5				).6	
α	в	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$	$E_w^*$	$E_k$	$E_k^*$	E <sub>sg</sub>	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$
0.1	0.4	550.00	115.90	637.40	418.20	625.00	120.50	752.81	377.56	700.00	125.70	880.11	343.03
0.1	0.5	520.00	106.22	552.40	311.80	600.00	109.80	658.54	290.32	680.00	113.50	771.73	268.60
0.1	0.6	500.00	101.56	507.80	253.90	583.30	104.30	608.12	243.75	666.70	106.80	712.12	229.49
0.1	0.7	485.70	99.66	484.00	219.10	571.40	101.50	580.03	216.12	657.10	103.10	677.63	206.64
0.1	0.8	475.00	99.35	471.90	196.50	562.50	100.30	564.23	198.44	650.00	101.10	657.00	192.22
0.1	0.9	466.70	100.00	466.70	181.00	555.60	100.00	555.56	186.46	644.40	100.00	644.44	182.59
0.2	0.5	320.00	104.77	335.30	261.10	350.00	107.90	377.75	231.13	380.00	111.30	423.05	206.94
0.2	0.6	300.00	100.62	301.90	199.30	333.30	103.00	343.33	178.82	366.70	105.40	386.50	161.21
0.2	0.7	285.70	99.31	283.70	160.20	321.40	100.70	323.51	146.84	357.10	101.90	363.94	133.93
0.2	0.8	275.00	100.00	275.00	134.30	312.50	100.00	312.5	126.07	350.00	100.00	350.00	116.53
0.2	0.9	266.70	102.20	272.50	116.30	305.60	100.50	307.09	111.89	344.40	99.16	341.56	104.84
						I				I			
0.3	0.6	233.30	99.82	232.90	193.00	250.00	100.90	252.22	170.42	266.70	102.00	271.91	152.30
0.3	0.7	219.10	100.00	219.10	153.300	238.10	100.00	238.1	135.66	257.10	100.00	257.14	121.08
0.3	0.8	208.30	102.46	213.50	125.00	229.20	100.80	230.91	111.92	250.00	99.26	248.16	100.45
0.3	0.9	200.00	107.08	214.20	104.50	222.20	102.90	228.57	95.24	244.40	99.46	243.13	86.29
0.4	0.7	185.70	102.10	189.60	159.30	196.40	100.90	198.25	140.41	207.10	99.82	206.76	125.34
0.4	0.8	175.00	106.94	187.20	131.30	187.50	103.60	194.32	05.80	200.00	100.70	201.40	101.85
0.4	0.9	166.70	115.00	191./0	108.90	180.60	108.10	195.18	95.80	194.40	102.50	199.36	84.96
0.4	0.1	/00.00	125.73	880.10	343.00	625.00	120.50	/52.81	377.56	550.00	115.90	637.43	418.24
0.5	0.8	155.00	113 73	176 30	145 30	162 50	109 30	177 64	127.26	170.00	105 40	179 15	113 11
0.5	0.9	146.70	126.63	185.70	123.60	155.60	117.20	182.35	106.75	164.40	109.60	180.29	93.74
0.5	0.1	680.00	113.49	771.70	268.60	600.00	109.80	658.54	290.32	520.00	106.20	552.36	311.78
0.5	0.2	380.00	111.33	423.10	206.90	350.00	107.90	377.75	231.13	320.00	104.80	335.26	261.11
5.5		200.00		.20.10	200.90	220.00	101.70	211.10	201110		101100	200.20	_01.11
0.6	0.9	133.30	143.34	191.10	148.30	138.90	131.90	183.12	127.78	144.40	122.50	176.93	112.28
0.6	0.2	366.70	105.41	386.50	161.20	333.30	103.00	343.33	178.82	300.00	100.60	301.86	199.26
0.6	0.1	666.70	106.82	712.10	229.50	583.30	104.30	608.12	243.75	500.00	101.60	507.81	253.91
	1					I				I			

0.7	0.1	657.10	103.12	677.60	206.60	571.40	101.50	580.03	216.12	485.70	99.66	484.04	219.07	
0.7	0.2	357.10	101.90	363.90	133.90	321.40	100.70	323.51	146.84	285.70	99.31	283.74	160.24	
0.7	0.3	257.10	100.00	257.10	121.10	238.10	100.00	238.10	135.66	219.10	100.00	219.05	153.34	
0.7	0.4	207.10	99.82	206.80	125.30	196.40	100.90	198.25	140.41	185.70	102.10	189.61	159.29	
							2	τ						
				0.7			(	).8		0.9				
α	β	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$	$E_w^*$	$E_k$	$E_k^*$	$E_{sg}$	
0.1	0.4	775.00	132.86	1030.00	307.80	850.00	144.40	1227.00	267.51	925.00	168.10	1554.80	218.67	
0.1	0.5	760.00	118.30	899.10	241.60	840.00	126.10	1059.30	205.73	920.00	143.60	1321.20	157.53	
0.1	0.6	750.00	109.93	824.50	207.20	833.30	114.90	957.41	173.61	916.70	126.50	1159.60	125.2	
0.1	0.7	742.90	104.94	779.60	187.30	828.60	107.80	893.03	155.05	914.30	114.60	1047.50	106.2	
0.1	0.8	737.50	101.90	751.50	174.90	825.00	103.10	850.82	143.50	912.50	106.10	968.23	94.17	
0.1	0.9	733.30	100.00	733.30	166.80	822.20	100.00	822.22	135.89	911.10	100.00	911.11	86.13	
0.2	0.5	410.00	115.31	472.80	185.00	440.00	120.50	530.24	163.04	470.00	128.30	602.78	139.7	
0.2	0.6	400.00	108.17	432.70	143.50	433.30	111.90	484.68	123.82	466.70	117.90	550.00	100.73	
0.2	0.7	392.90	103.28	405.70	119.10	428.60	105.10	450.54	100.82	464.30	108.40	503.05	77.55	
0.2	0.8	387.50	100.00	387.50	103.80	425.00	100.00	425.00	86.35	462.50	100.00	462.50	62.72	
0.2	0.9	383.30	97.84	375.10	93.59	422.20	96.12	405.85	76.73	461.10	92.84	428.11	52.71	
0.3	0.6	283.30	103.12	292.20	136.40	300.00	104.40	313.31	121.28	316.70	106.10	335.91	106.14	
0.3	0.7	276.20	100.00	276.20	107.50	295.20	100.00	295.24	93.51	314.30	100.00	314.29	78.35	
0.3	0.8	270.80	97.78	264.80	88.74	291.70	96.05	280.15	75.66	312.50	93.64	292.64	60.31	
0.3	0.9	266.70	96.27	256.70	76.09	288.90	92.62	267.57	63.63	311.10	87.28	271.55	47.98	
		I				1				I				
0.4	0.7	217.90	98.71	215.10	112.40	228.60	97.56	223.01	100.47	239.30	96.29	230.42	88.97	
0.4	0.8	212.50	97.91	208.10	90.19	225.00	95.03	213.81	78.89	237.50	91.74	217.87	67.24	
0.4	0.9	208.30	97.54	203.20	74.69	222.20	92.47	205.49	63.98	236.10	86.52	204.28	52.14	
0.4	0.1	475.00	111.41	529.20	478.50	400.00	106.50	426.16	593.96	325.00	101.00	328.23	909.77	
													~~~~	
0.5	0.8	177.50	101.75	180.60	101.20	185.00	98.26	181.78	90.63	192.50	94.74	182.37	80.73	
0.5	0.9	173.30	103.07	178.70	82.64	182.20	96.98	176.71	72.38	191.10	90.82	173.57	62.28	
0.5	0.1	440.00	102.29	450.10	342.10	360.00	97.42	350.71	405.04	280.00	91.25	255.50	604.64	
0.5	0.2	290.00	101.62	294.70	303.90	260.00	98.33	255.66	376.34	230.00	94.80	218.04	533.90	
0.6	0.9	150.00	114 55	171.80	99.75	155.60	107 50	167.27	89.00	161 10	101 10	162.80	79.31	
0.0	0.9	266.70	08.04	261.40	228 40	222.20	05.07	221.82	280.07	200.00	01.56	102.00	111.59	
0.0	0.2	416.70	98.04	409.10	226.40	333.30	93.07	311.49	200.97	250.00	91.50 86.67	216.67	416.67	
0.7	0.1	400.00	97.06	388 30	200.40	314 30	92.92	292.02	230.11	228.60	86.03	196.65	295 53	
0.7	0.1	250.00	97 70	244 30	178.60	214.30	95.63	202.02	213.45	178.60	92.86	165.83	314.04	
0.7	0.2	200.00	100.00	200.00	178.40	1810	100.00	180.05	213.45	161.00	100.00	161.00	319.61	
0.7	0.5	175.00	103.37	180.00	185 20	164 30	104.80	172 17	221.33	153.60	106.00	163.45	297 32	
0.7	0.2 0.3 0.4	200.00 175.00	100.00 103.37	200.00 180.90	178.40 185.20	1810 164.30	100.00 104.80	180.95 172.17	213.43 221.35 225.05	161.90 153.60	92.80 100.00 106.40	163.45	319.61 297.32	

 $V_{k}^{*} >, = \text{or} < V_{sg}$ i.e. if  $\frac{\pi \theta_{1}(1-\theta_{1}) + (1-\pi)\theta_{2}(1-\theta_{2})}{\gamma(\theta_{1}-\theta_{2})^{2}} <, = \text{or} > \frac{\pi(1-\theta_{g})\theta_{g}^{*2} + (1-\pi)(1-\theta_{g}^{*})\theta_{g}^{2}}{(\theta_{g}^{*}-\theta_{g})^{2}}$ (3.3.1)

#### 3.4 Relative efficiencies

The percentage relative efficiencies of the Warner's with  $\gamma$  number of trials, Kuk with c=1, Kuk with  $c=\gamma$  and Singh and Grewal's model with respect to the Warner's model with a single trial is given by

$$E_{w}^{*} = \frac{V_{w}}{V_{w}^{*}} \times 100 , \ E_{k} = \frac{V_{w}}{V_{k}} \times 100 , \ E_{k}^{*} = \frac{V_{w}}{V_{k}^{*}} \times 100 \text{ and}$$
$$E_{sg} = \frac{V_{w}}{V_{sg}} \times 100 \tag{3.4.1}$$

It is obvious to note that the Warner's model and the modified Kuk's model with  $\gamma$  number of trials are more efficient than the corresponding Warner's model with a single trial and Kuk's (1990) model with c=1as  $\gamma > 1$ .

The validity of the conditions (3.1.3), (3.2.1) and (3.3.1) stated above are very difficult to check as they depend on the values of the parameters  $\pi$ ,  $P_w$ ,  $\theta_1$ , etc. Hence, we compare performances of the models numerically. Table 3.2 shows relative efficiencies  $E_w^*$ ,  $E_k$ ,  $E_k^*$  and  $E_{sg}$  for diffident values of  $\pi$ ,  $\alpha$  and  $\beta$  where  $\theta_1 = \theta_g = \alpha$ ,  $\theta_2 = \theta_g^* = \beta$  and  $\theta_1 - \theta_2 = 2P_w - 1$ . The estimator  $\overline{r_k}$  is found to be least efficient in almost all situations. Among the estimators  $\overline{r_w^*}$ ,  $\overline{r_k^*}$ , and  $\overline{r_{sg}}$ , none of them was found best in all situations. Efficiencies  $E_w^*$ ,  $E_k^*$  and  $E_{sg}$  varies from 125 to 925, 161.9 to 1554.8 and 47.98 to 909.77 respectively. On the other hand,  $\overline{r_{sg}}$  was found to be least efficient for some of the occasions. In most situations the modified Kuk's estimator was found to be most efficient.

#### 4. **DISCUSSIONS**

The RR techniques are used to collect information on sensitive items. Warner (1965), Kuk (1990) and Singh and Grewal (2013) proposed the RR techniques for estimating  $\pi$ , the proportion of individuals belong to a certain sensitive group A.

In Warner's RR technique, respondents are asked to answer a sensitive question such as whether or not they belong to a certain sensitive group A. However, to answer such questions, the respondent may feel embarrassed or uncomfortable.

In Kuk's (1990) RR technique each respondent has to draw c cards at random with replacement from a pack containing certain number of black and white cards. The respondent is asked to report how many red cards he or she has drawn. So, in this method the respondent need not answer any sensitive or embarrassing question.

The Singh and Grewal (2013) technique is similar to Kuk (1990) technique. Here, each respondent is asked to draw cards from a pack of cards containing two types cards bearing statement "I belong to the group A" and "I belong to the group  $\overline{A}$ ". Respondent draws cards at random one by one with replacement until the statement of drawn card matches his or her status the first time (for details see Section 1.3). The respondent is asked to report how many cards he/she has drawn. In this method, the number of cards drawn by a respondent is not fixed. It is a random variable and, hence, respondents may very often commit mistakes in drawing cards.

Singh and Grewal (2013) compared performance of their proposed estimator with the estimators proposed by Kuk (1990), with c = 1, and Warner (1965) estimator where a respondent draws only one card. The comparison is not fair since the number of cards drawn by Singh and Grewal model is always greater than one. In fact, the expected number of cards drawn by a respondent in Singh and Grewal model  $\gamma$  ranges from 1.2 to 8.4. (see Table 3.1).

In the present paper, a more realistic comparison has been proposed where each of the respondents in the Warner (1965) and Kuk (1990) methods draws  $\gamma$ number of cards. The efficiencies of Warner, Modified Warner, Kuk, Modified Kuk and Singh and Grewal models are compared theoretically but no meaningful conclusion was reached because the comparisons involved many unknown parameters. The numerical comparisons indicate that none of the proposed model is best in all the situations. However, the modified Kuk's model performs fairly well in most situations and it may be preferred for its easy execution and that the fact that the respondent need not answer embarrassing questions.

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